

# GRAVITATIONAL LENSING

## LECTURE 1

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*Docente: Massimo Meneghetti  
AA 2015-2016*

# DOCENTE

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**RICEVIMENTO: DA CONCORDARE VIA E-MAIL O TELEFONO**

**GOOGLE GROUP: [GRAVLENS\\_2016](#)**

# MATERIALE DIDATTICO

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dispense del corso:

**Introduction to Gravitational Lensing - lecture scripts**, M. Meneghetti

scaricabile da: <http://pico.bo.astro.it/~massimo/pico/Teaching.html>

altro materiale:

**Gravitational Lenses**, P. Schneider, J. Ehlers, E.E. Falco, Springer-Verlag, 1992

**Proceedings of the 33rd Saas Fee Advanced Course on Gravitational Lensing**,  
scaricabile da <http://www.astro.uni-bonn.de/~peter/SaaSFee.html>

eventuale ulteriore materiale (presentazioni, articoli, esercizi, ecc.) verrà fornito durante le lezioni

# THE COURSE

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- Basics of Gravitational Lensing Theory
- Applications of Gravitational Lensing:
  - microlensing in the MW
  - lensing by galaxies
  - lensing by galaxy clusters
  - lensing by the LSS
- Exercises and practical activities
- Final exam

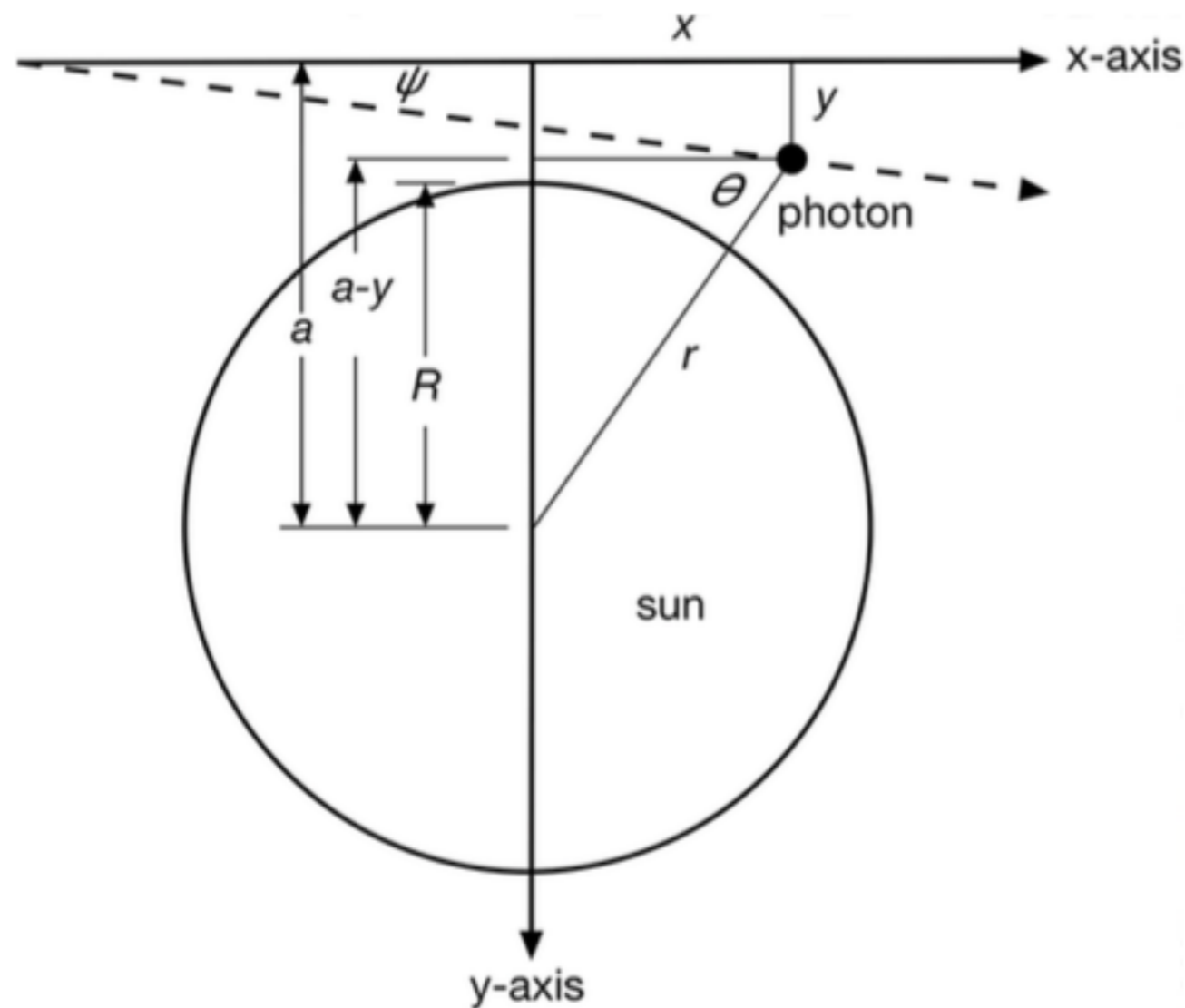
# CONTENTS

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- Gravitational lensing in the Newtonian limit: what if photons had mass?
- Gravitational lensing in the context of general relativity
- The deflection angle

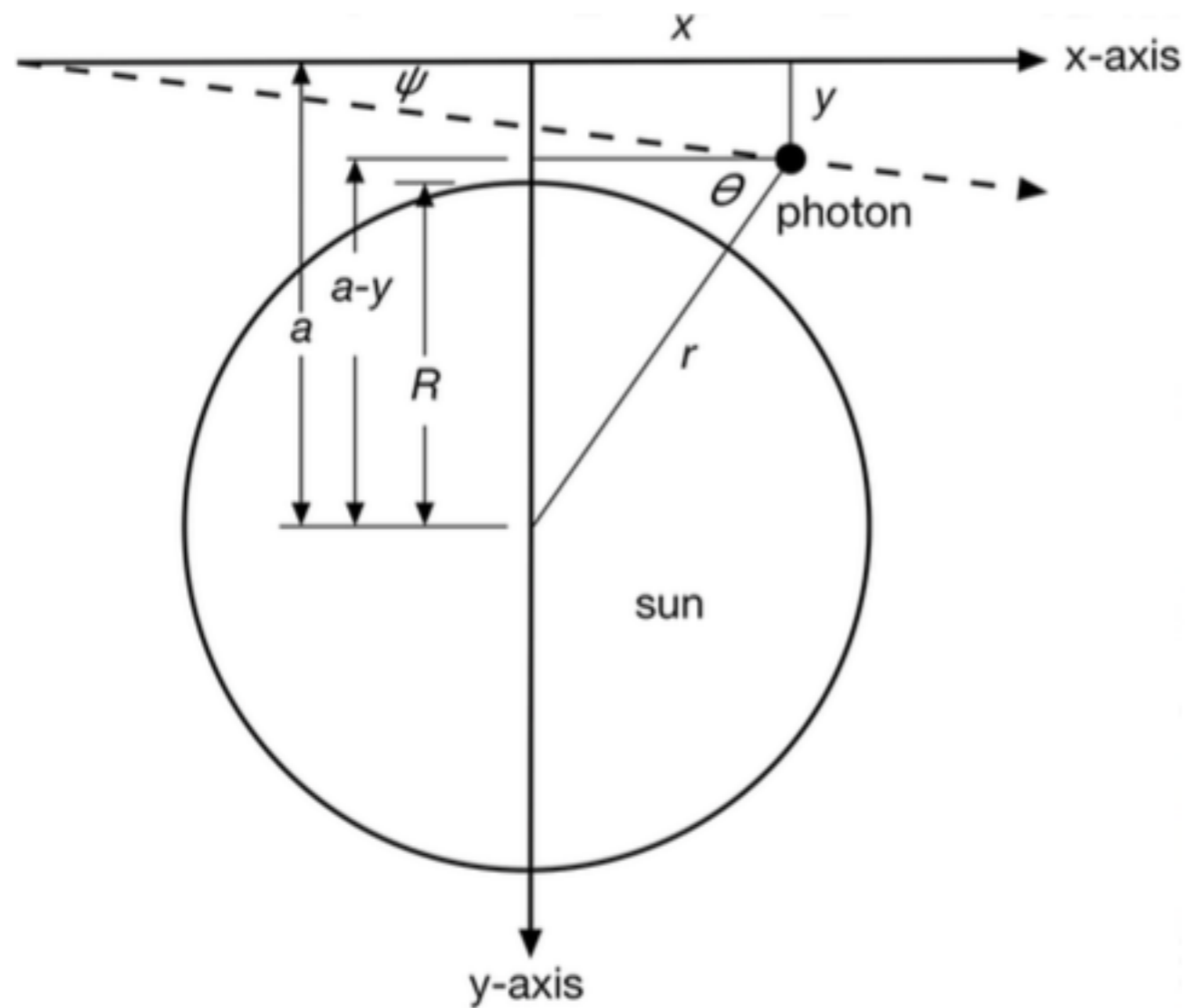
# DEFLECTION OF A LIGHT CORPUSCLE

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- Assumptions:
  - photons have an inertial gravitational mass
  - photons propagate at speed of light
  - Newton's law of gravity
  - Newton's principle of equivalence

# DEFLECTION OF A LIGHT CORPUSCLE



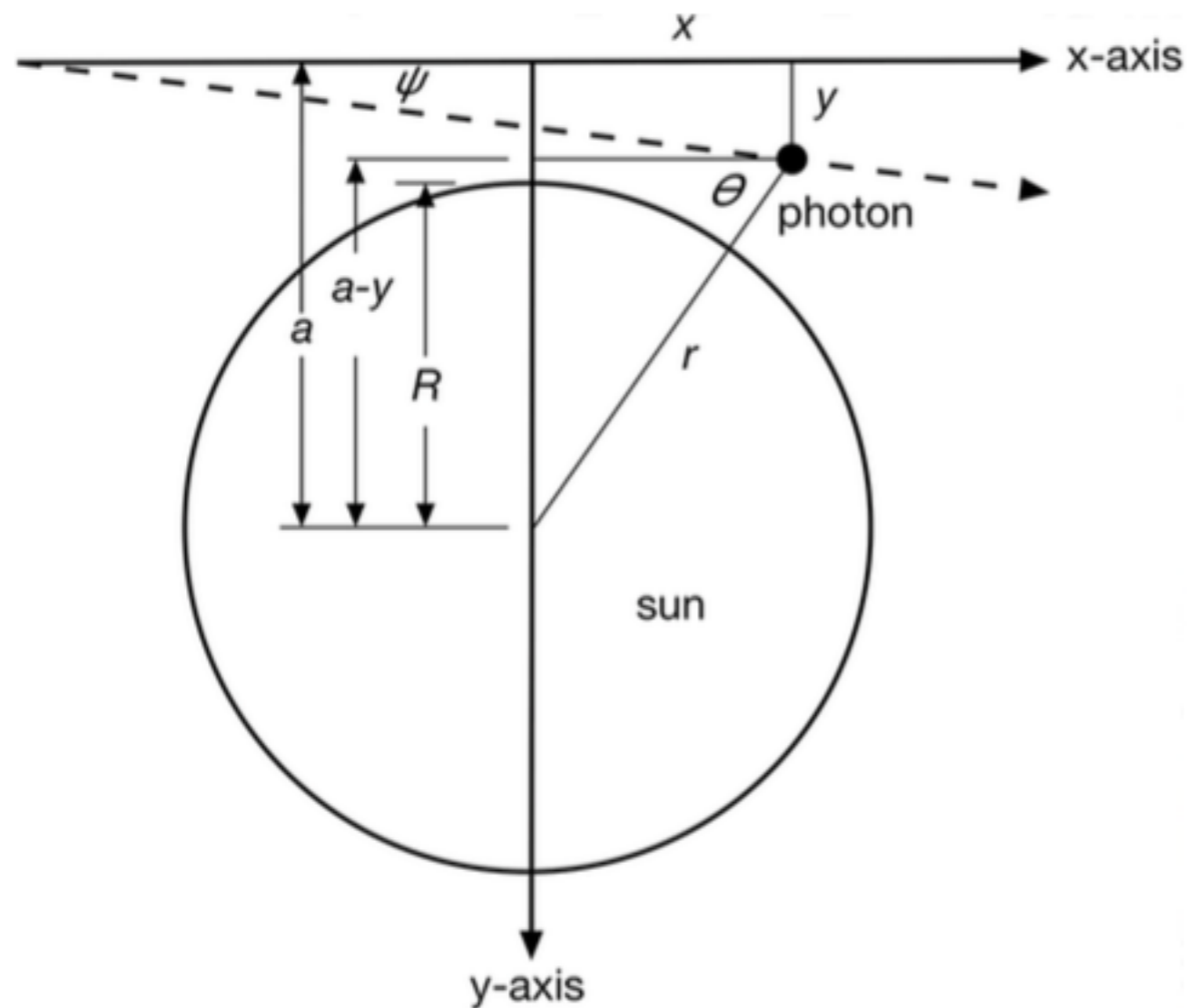
$$m = \frac{p}{c}$$

$$x = ct$$

$$\begin{aligned} \vec{F} &= \frac{d\vec{p}}{dt} \\ &= |F|(\cos \theta, \sin \theta) \\ &= \frac{GMm}{r^2}(\cos \theta, \sin \theta) \end{aligned}$$

$$r^2 = x^2 + (a - y)^2$$

# DEFLECTION OF A LIGHT CORPUSCLE



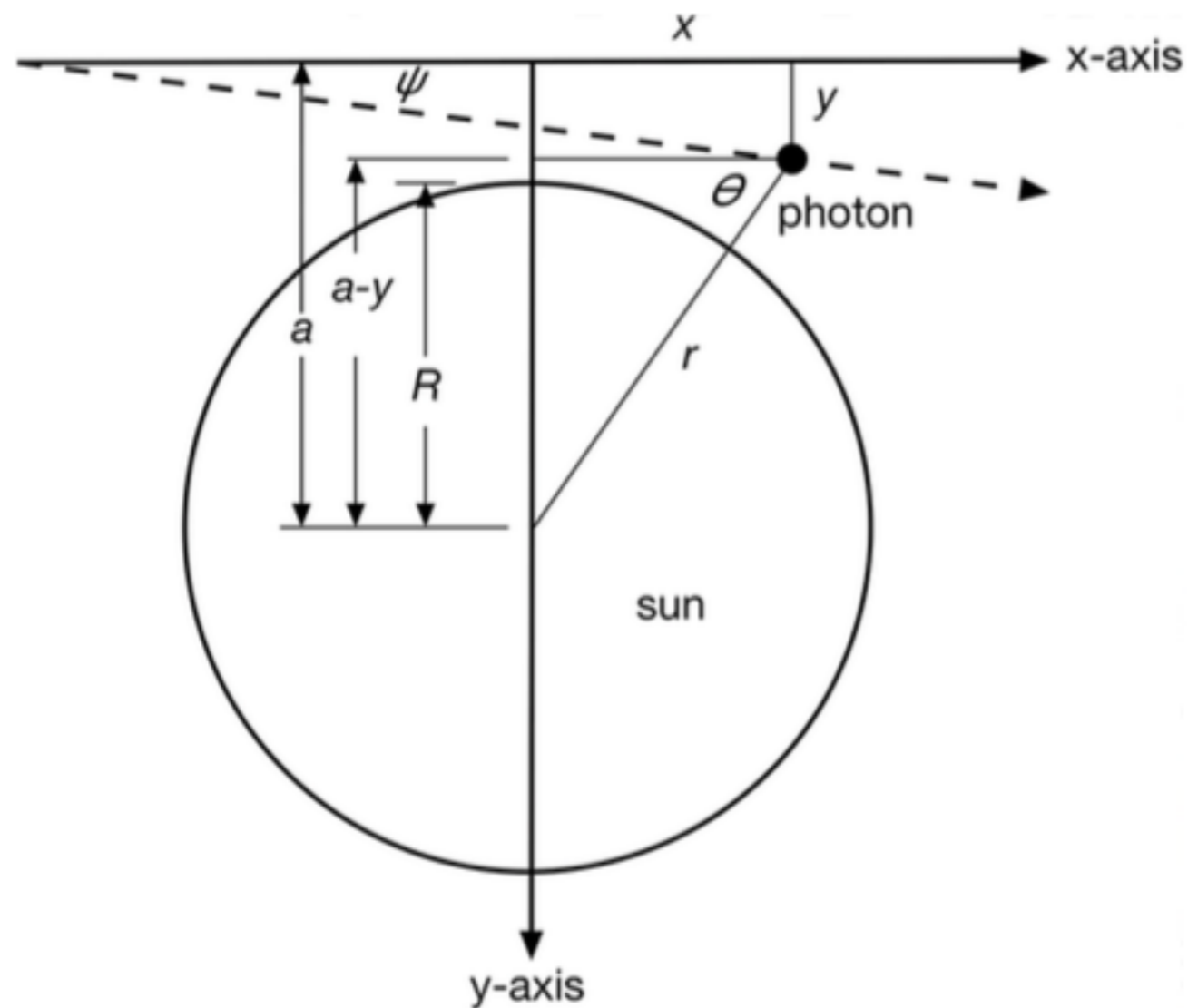
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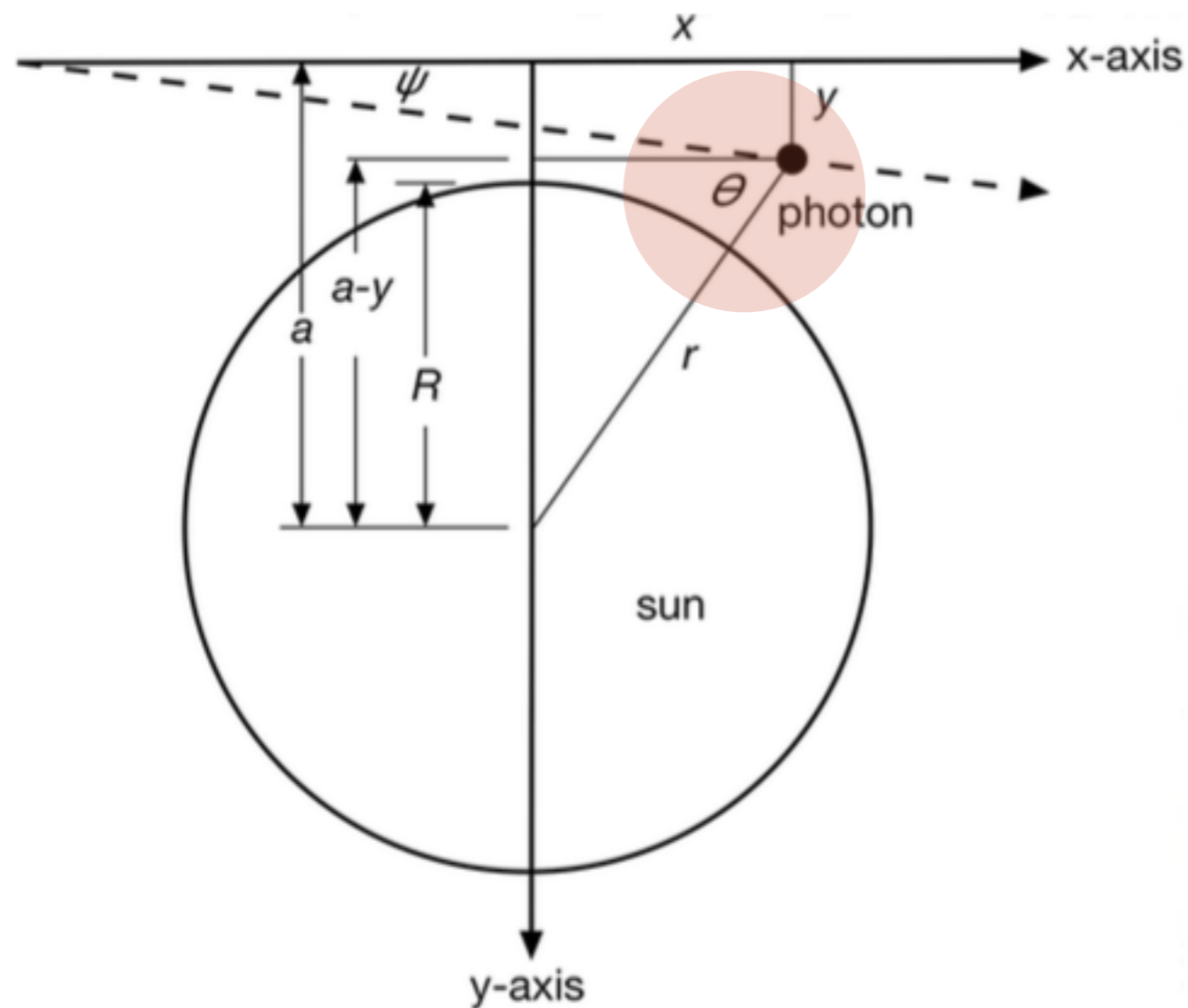


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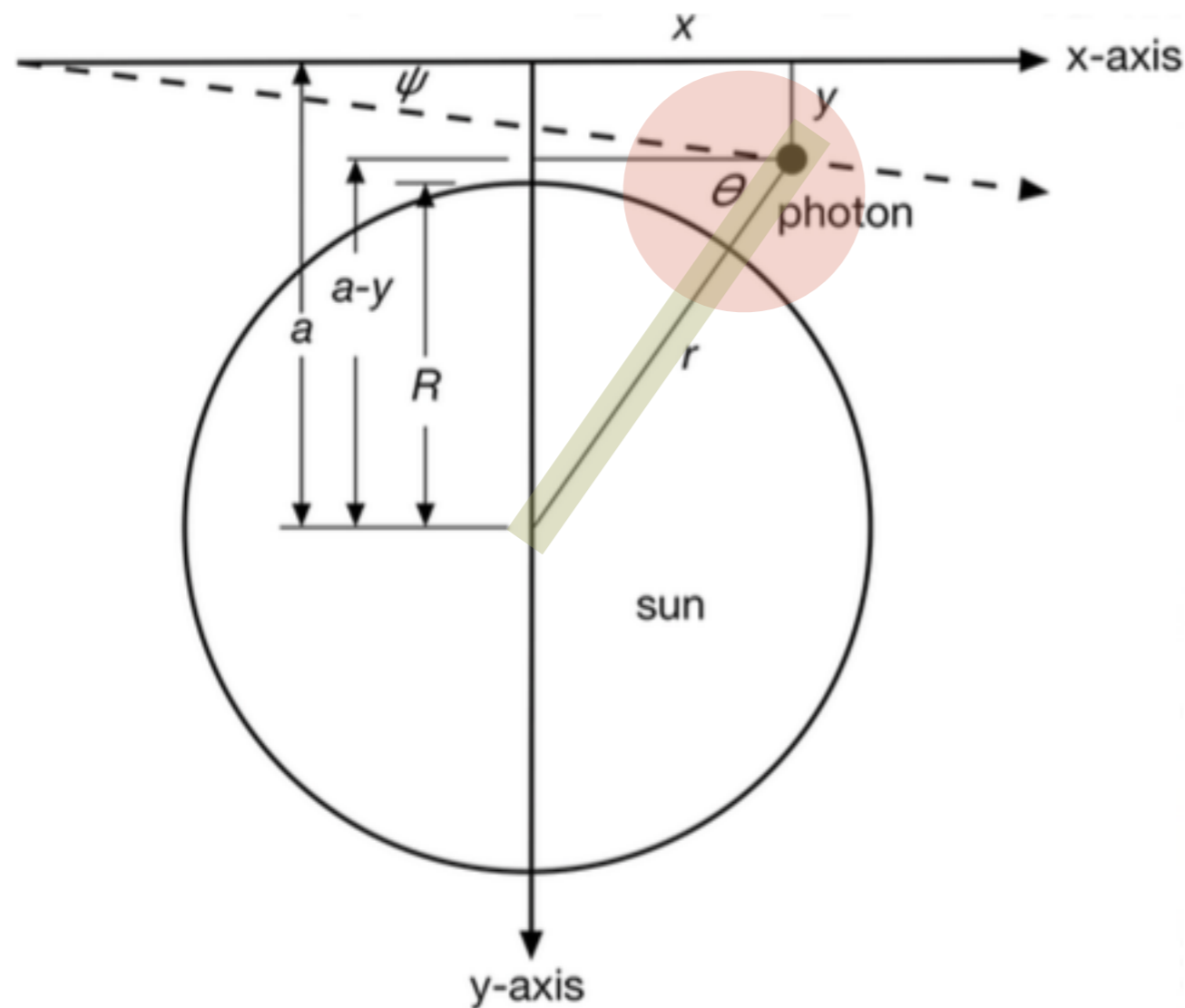


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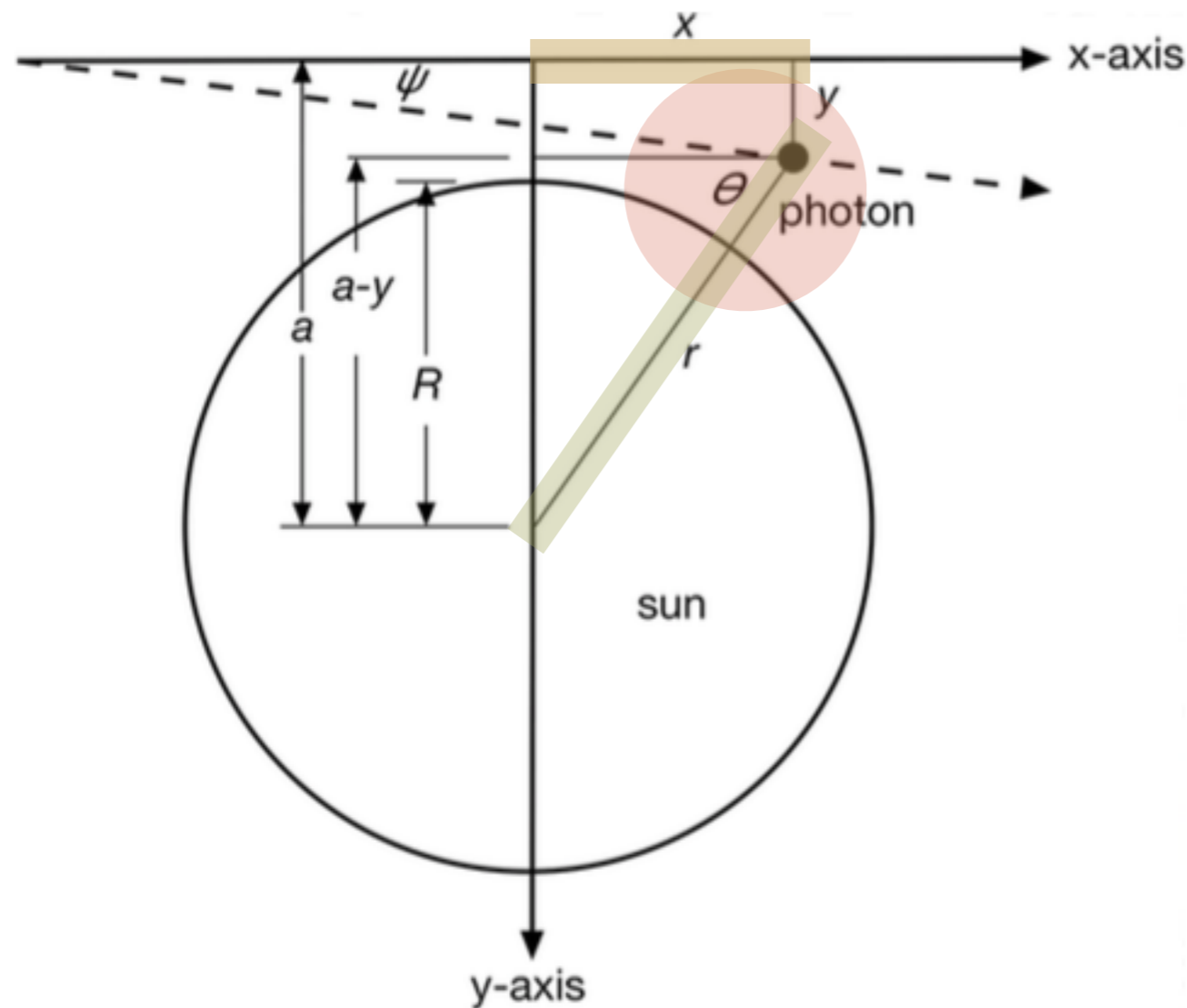


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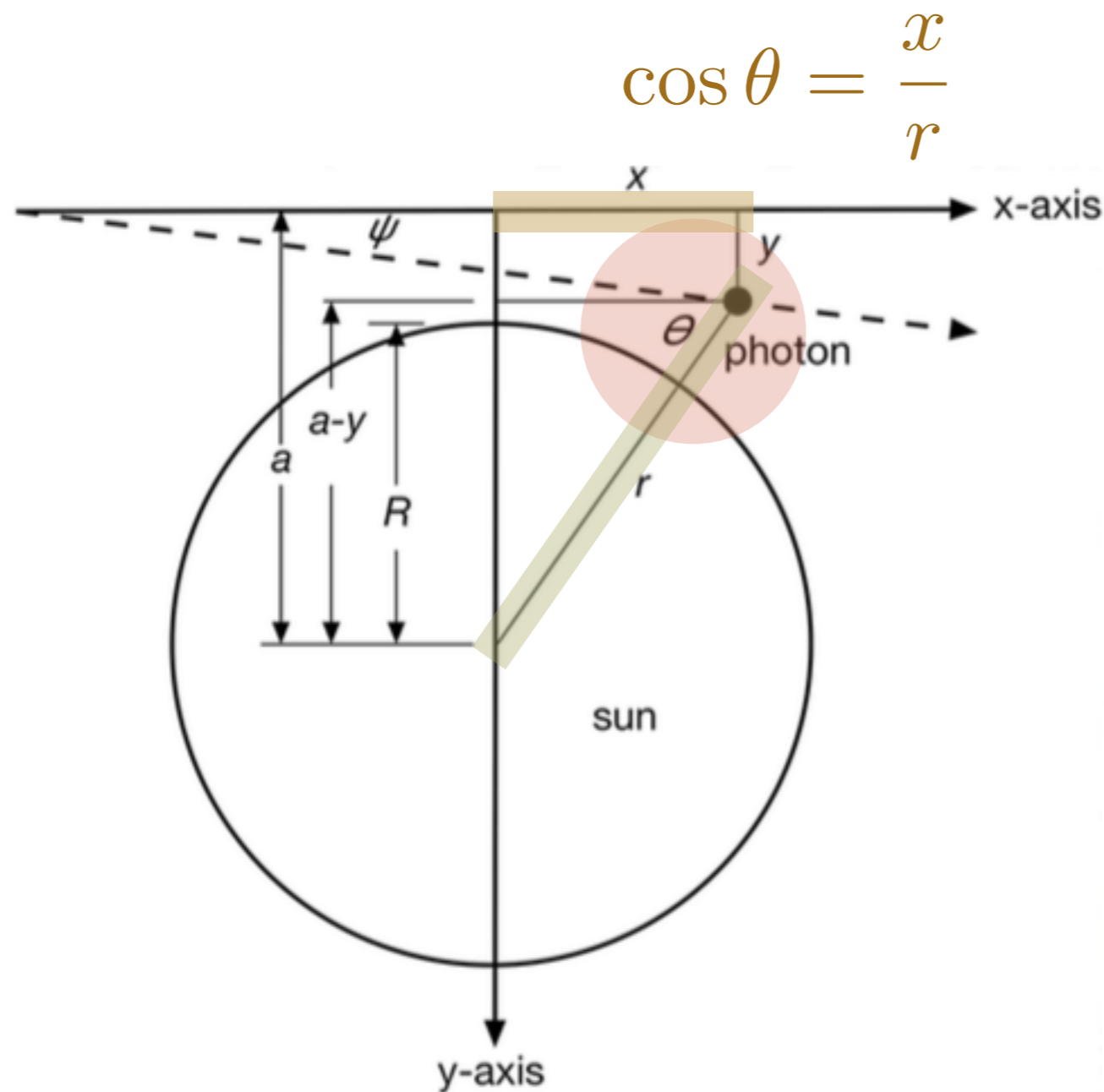


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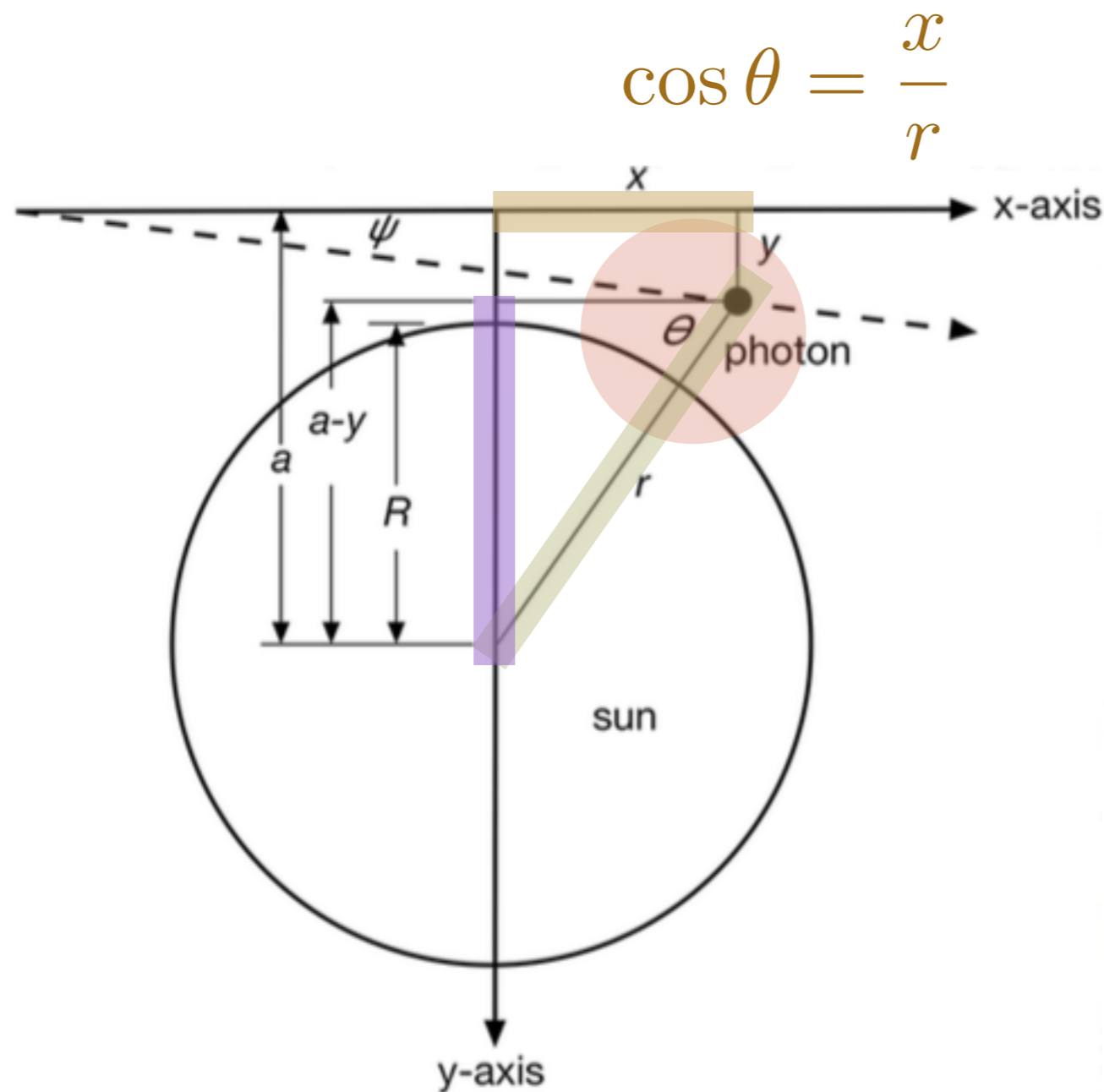
$$\cos \theta = \frac{x}{r}$$

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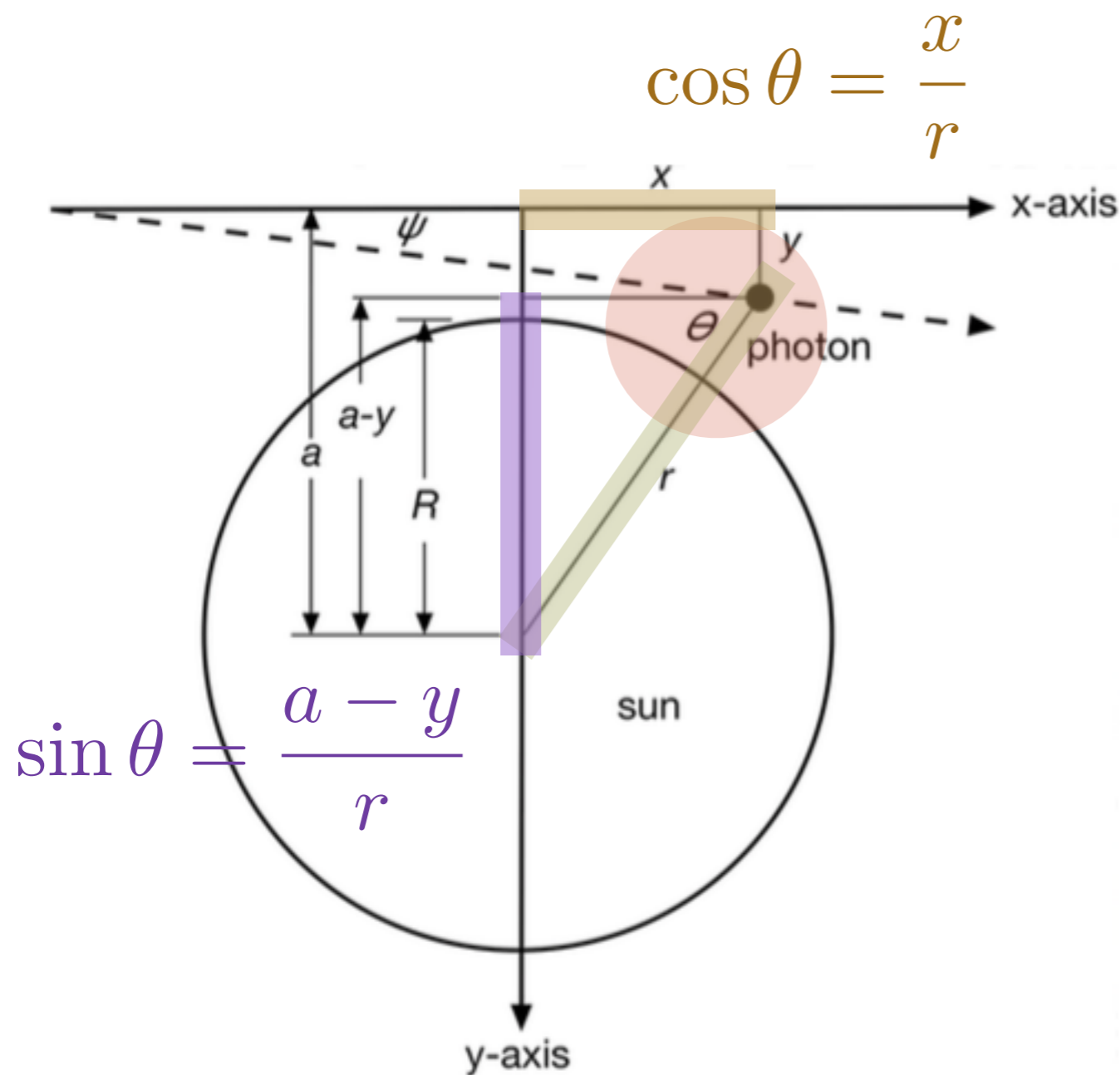


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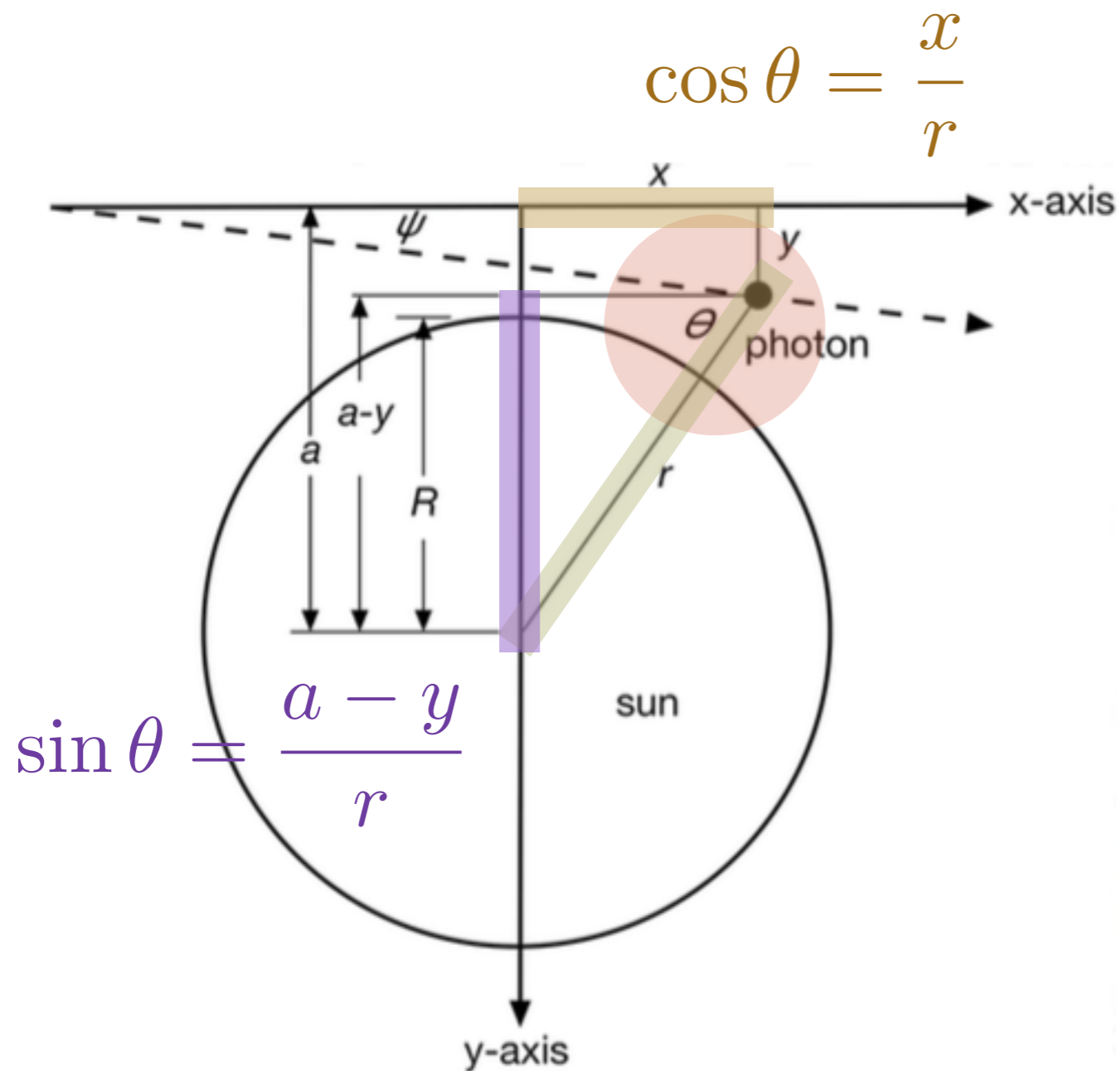


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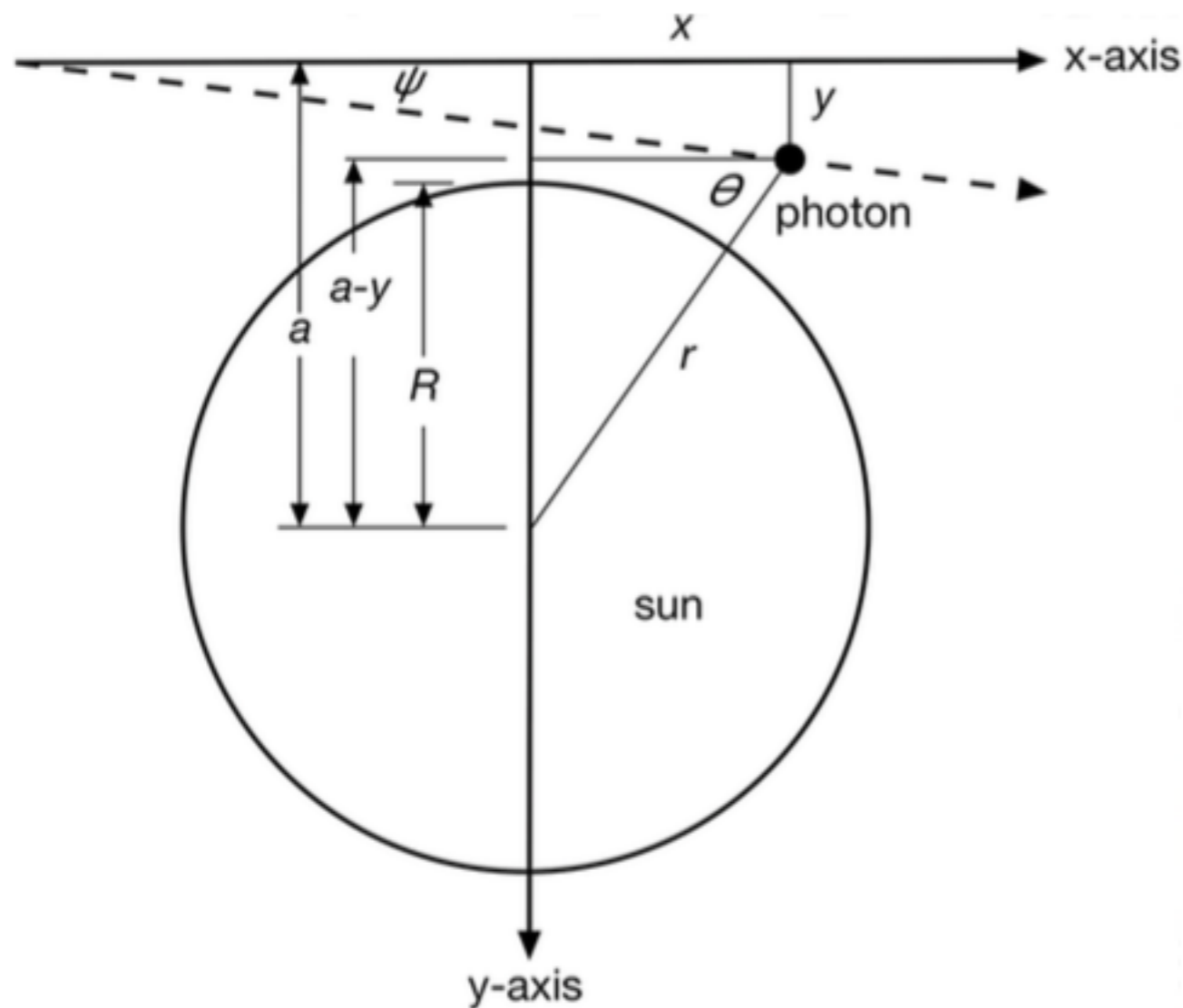
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$$F_x = \frac{dp_x}{dt} = \frac{GMp}{c} \frac{x}{(x^2 + (a - y)^2)^{3/2}}$$

$$F_y = \frac{dp_y}{dt} = \frac{GMp}{c} \frac{a - y}{(x^2 + (a - y)^2)^{3/2}}$$



# DEFLECTION OF A LIGHT CORPUSCLE



$$x = ct$$

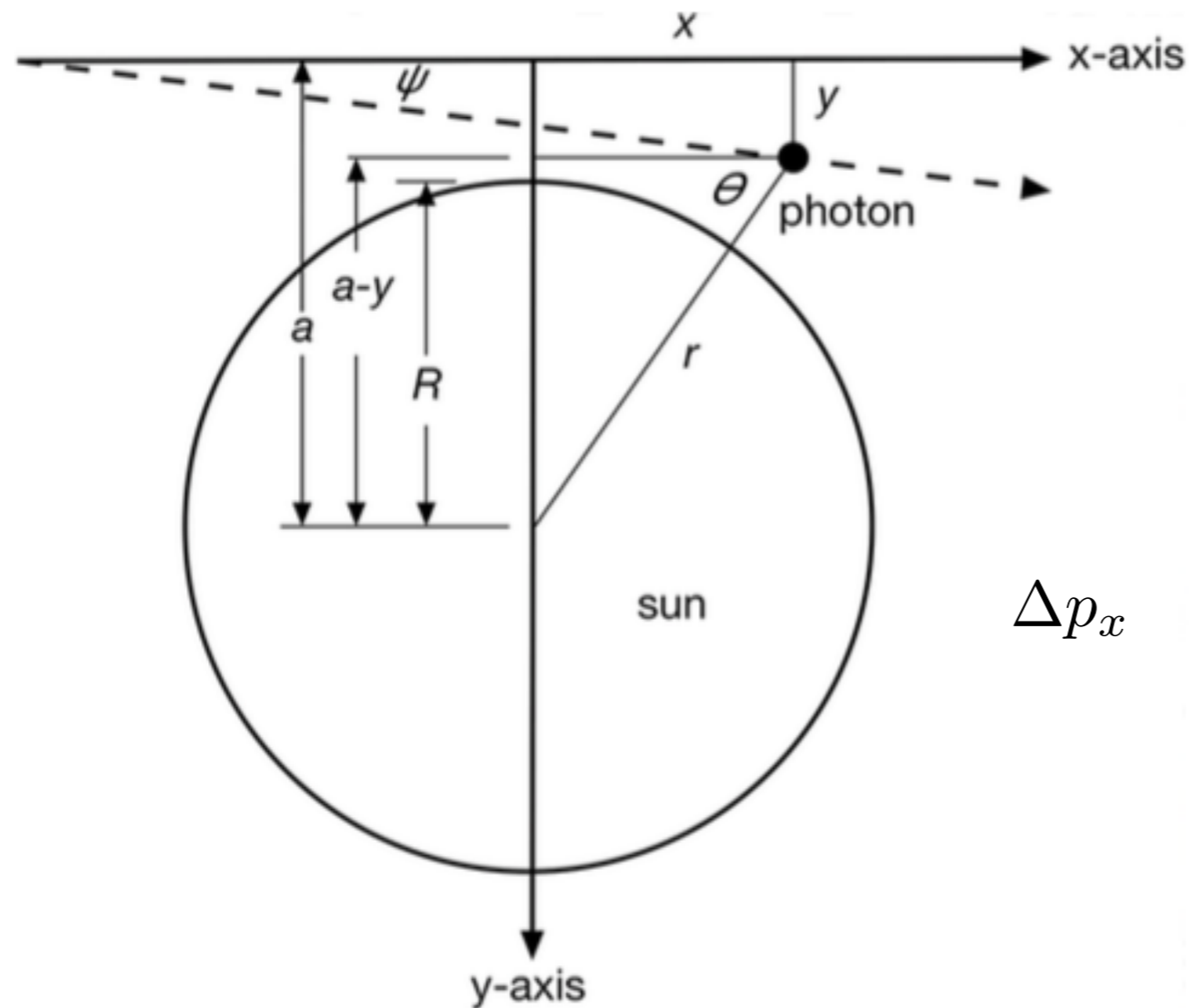
$$dx = c dt$$

$$\frac{dp_i}{dt} = \frac{dp_i}{dx} \frac{dx}{dt} = c \frac{dp_i}{dx}$$

$$\frac{dp_x}{dx} = \frac{GMp}{c^2} \frac{x}{(x^2 + (a - y)^2)^{3/2}}$$

$$\frac{dp_y}{dx} = \frac{GMp}{c^2} \frac{a - y}{(x^2 + (a - y)^2)^{3/2}}$$

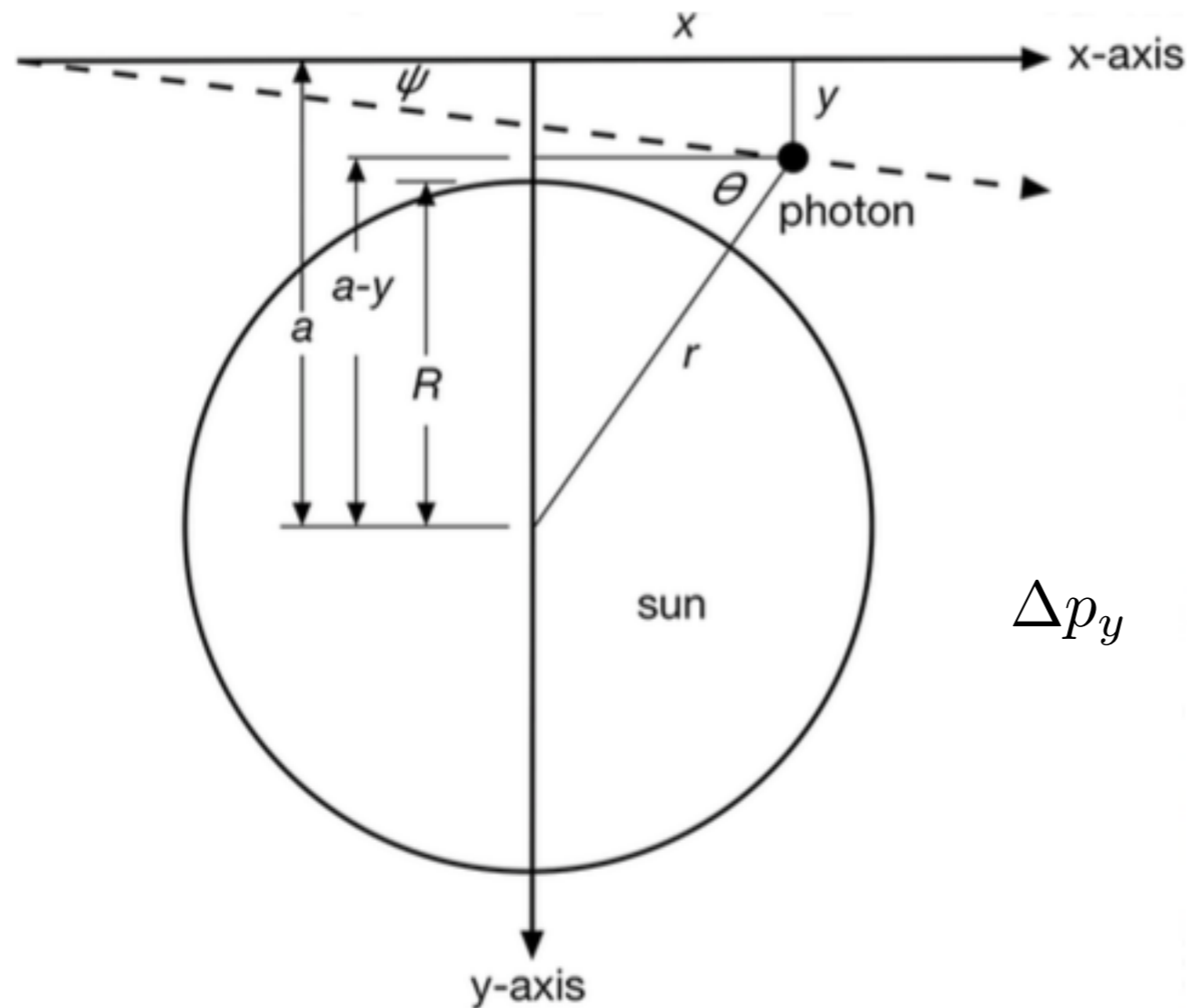
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$$\frac{dp_x}{dx} = \frac{GMp}{c^2} \frac{x}{(x^2 + (a-y)^2)^{3/2}}$$

$$\begin{aligned} \Delta p_x &= \frac{GMp}{c^2} \int_{-\infty}^{+\infty} \frac{x}{(x^2 + (a-y)^2)^{3/2}} dx \\ &= \frac{GMp}{c^2} [\log[(a-y)^2 + x^2]]_{-\infty}^{+\infty} \\ &= 0 \end{aligned}$$

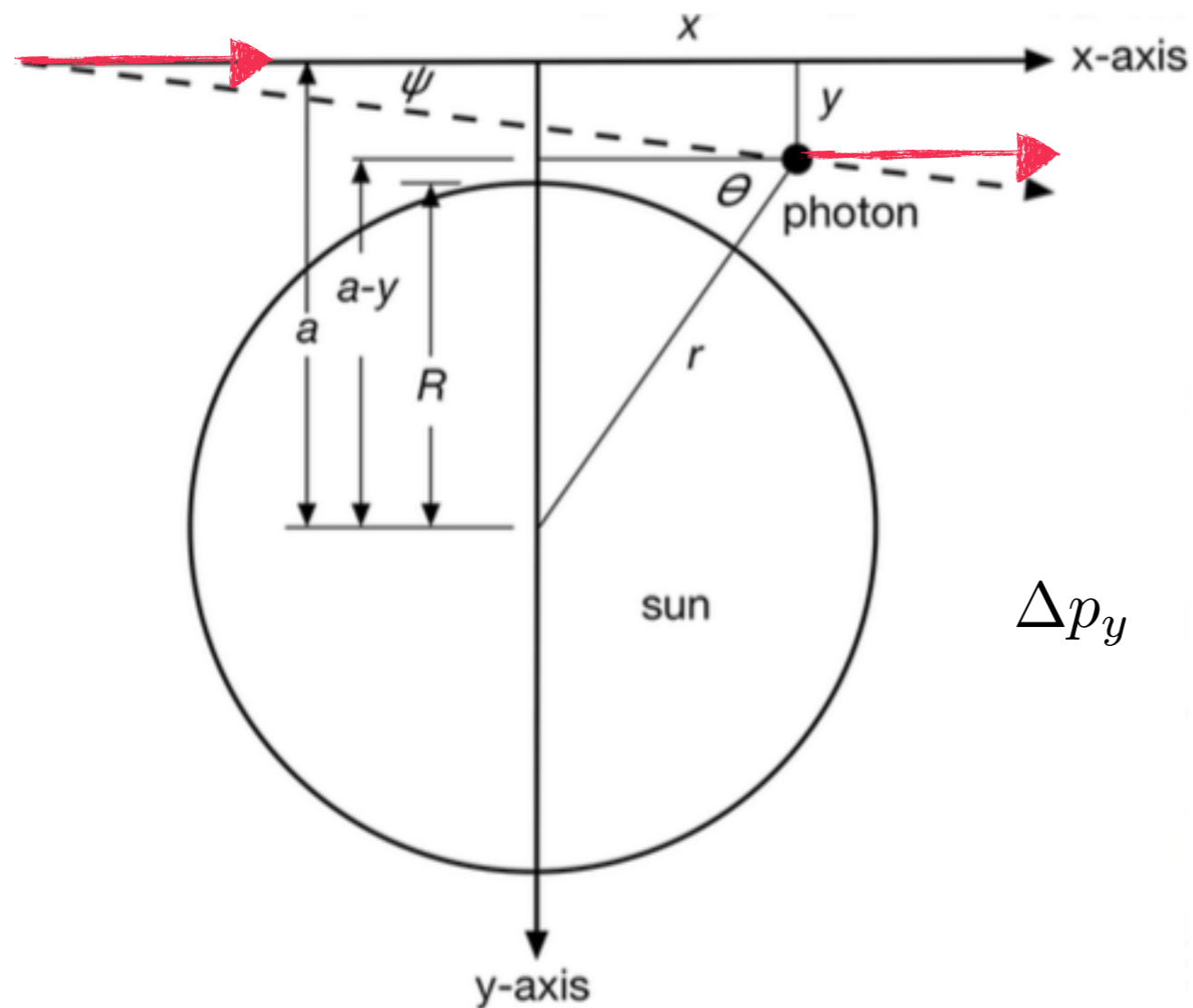
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$$\frac{dp_y}{dx} = \frac{GMp}{c^2} \frac{a-y}{(x^2 + (a-y)^2)^{3/2}}$$

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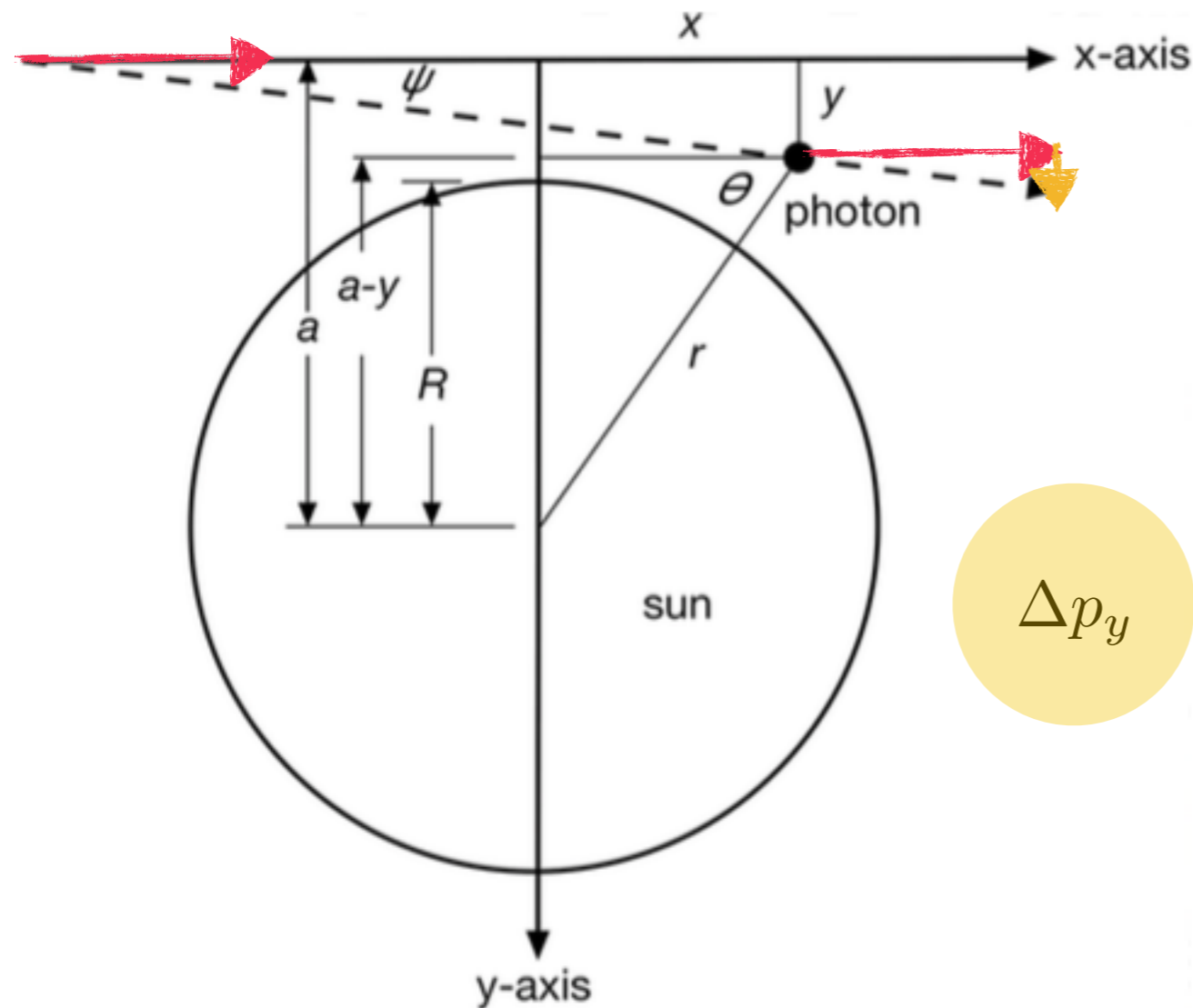
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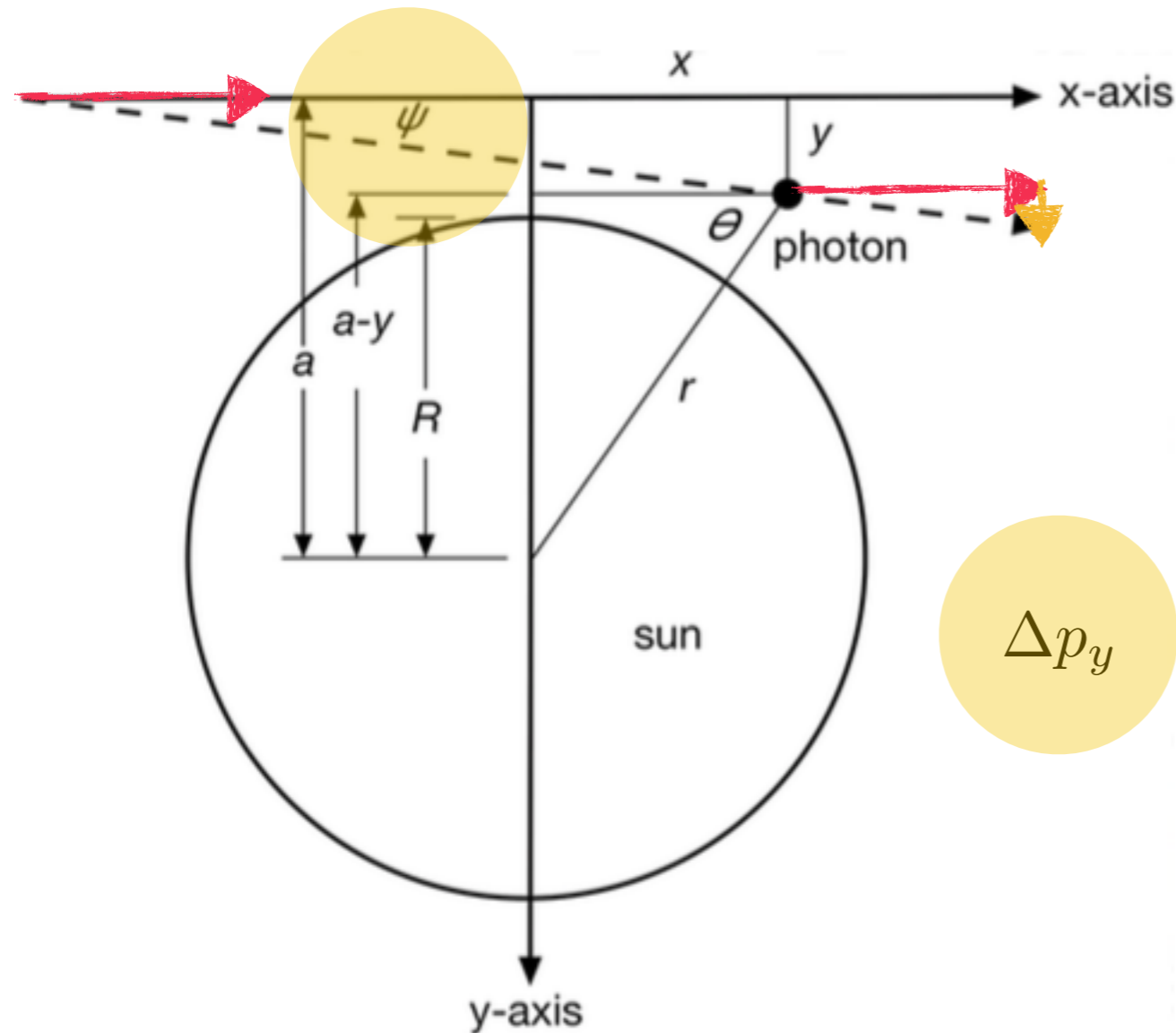
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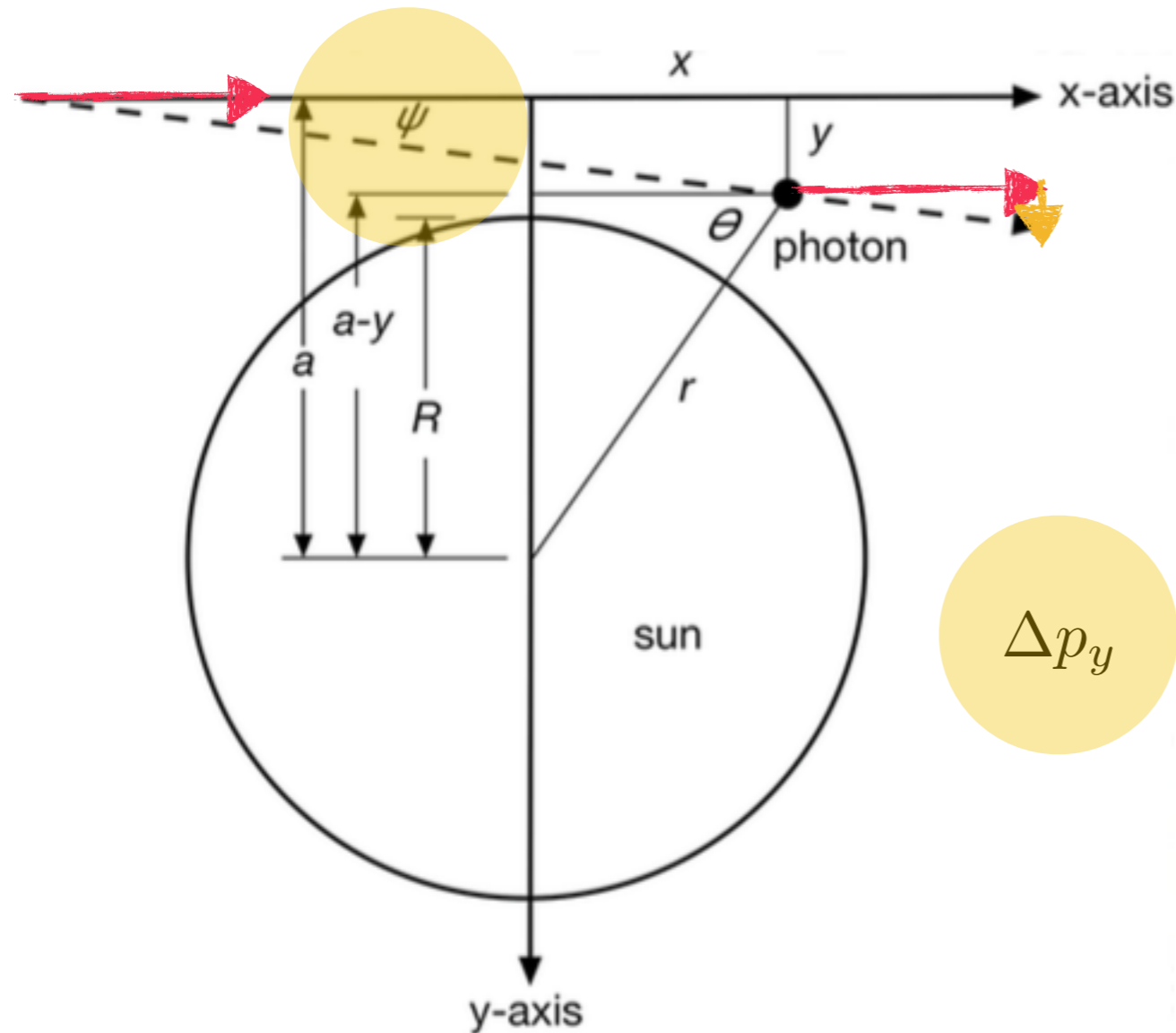
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$$\psi = \frac{\Delta p_y}{p} = \frac{2GM}{c^2} \frac{1}{a-y}$$

# DEFLECTION OF A LIGHT CORPUSCLE BY THE SUN

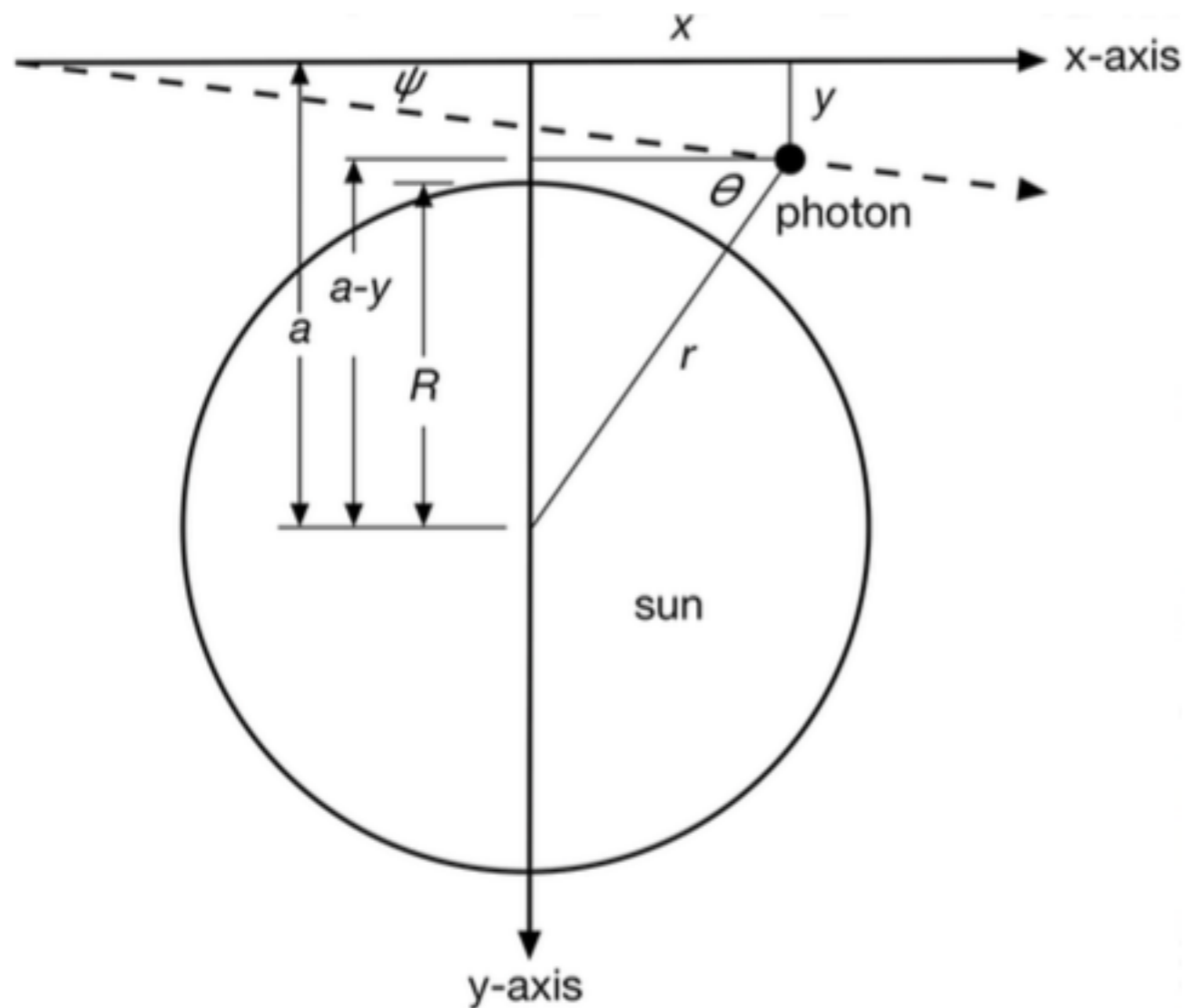
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$$a - y = R_{\odot}$$

$$M = M_{\odot} = 1.989 \times 10^{30} \text{ kg}$$

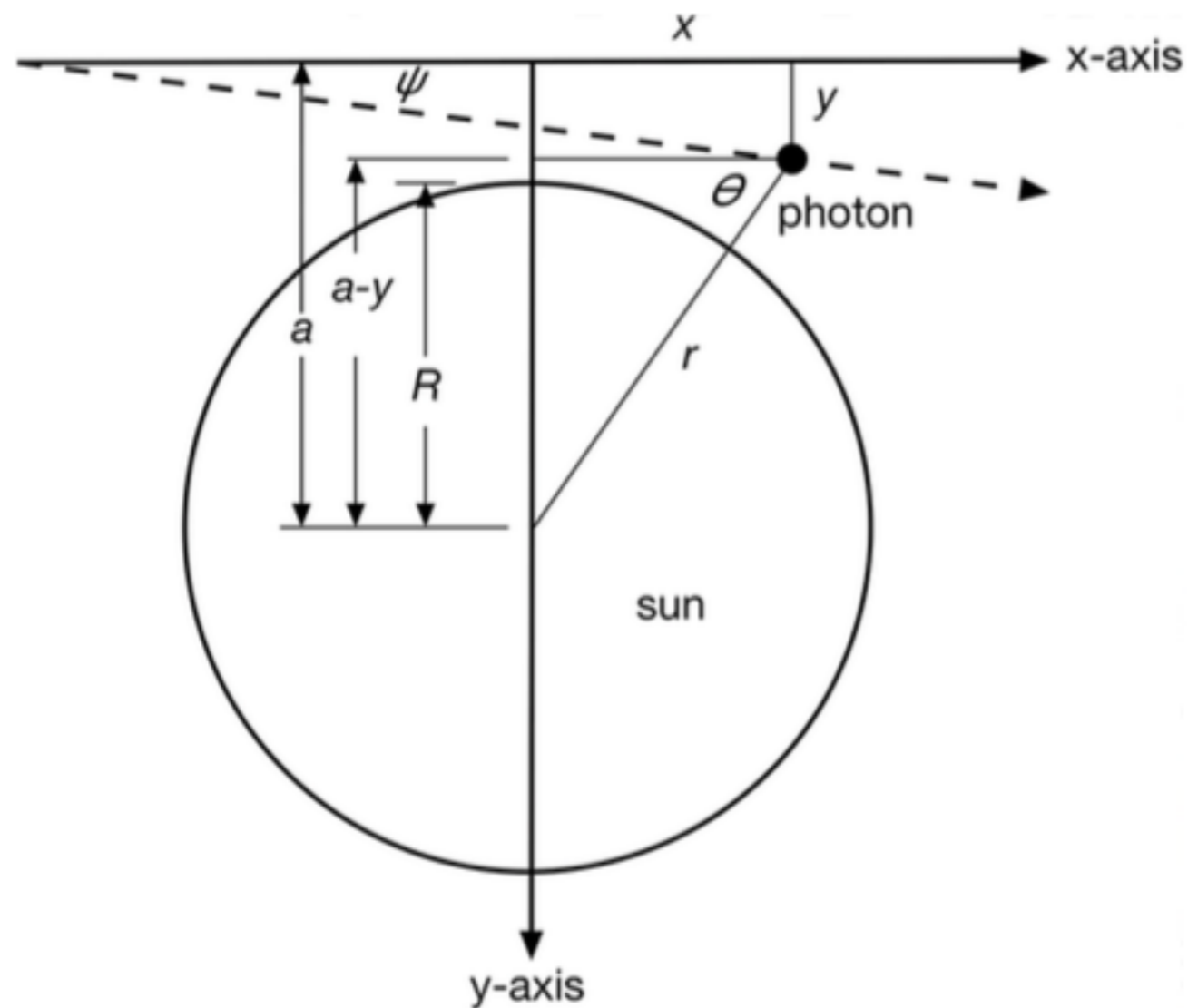
$$a - y = R_{\odot} = 6.96 \times 10^8 \text{ m}$$

$$\psi \approx 0.875''$$





# DEFLECTION OF A LIGHT CORPUSCLE **BY THE SUN**



$$a - y = R_{\odot}$$

$$M = M_{\odot} = 1.989 \times 10^{30} \text{ kg}$$

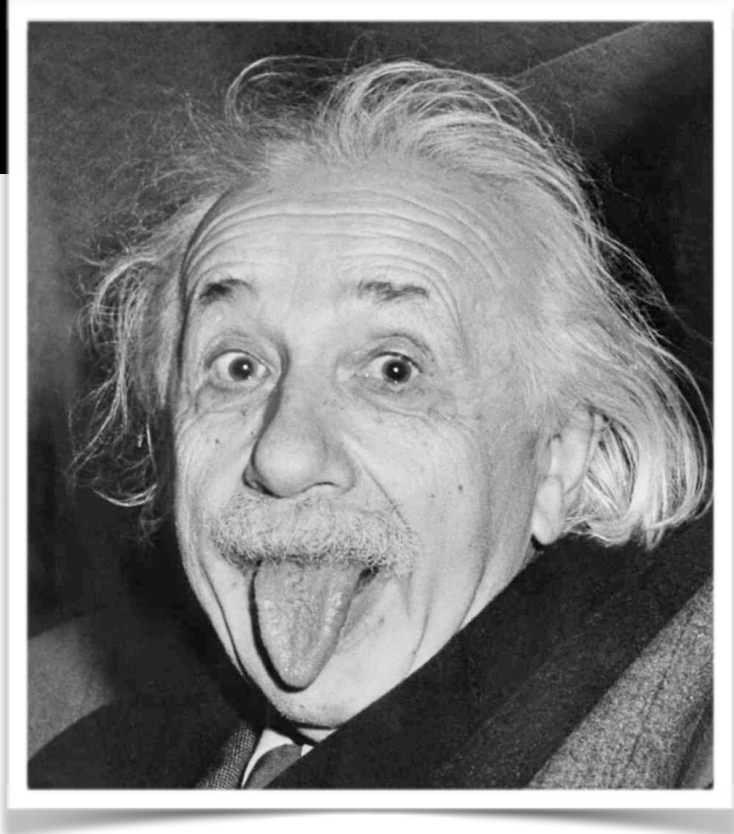
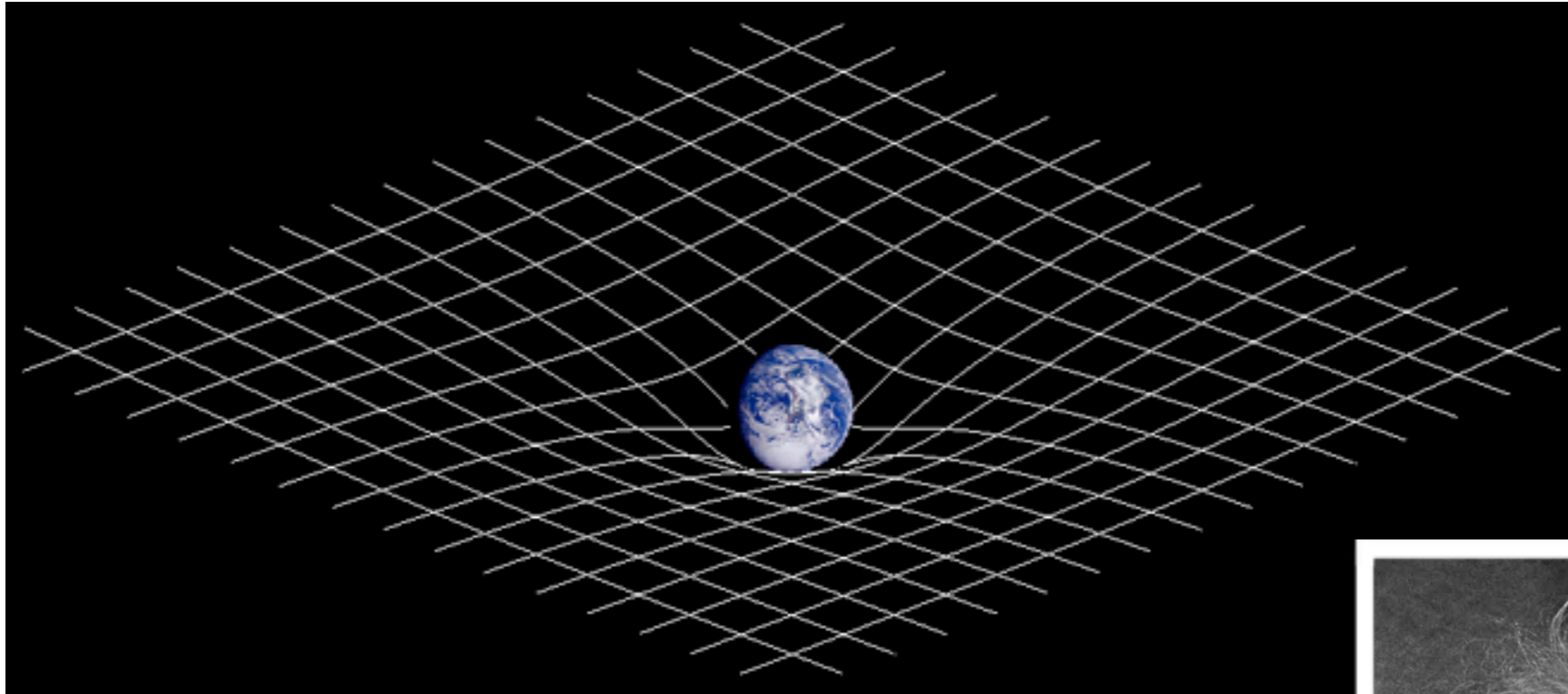
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# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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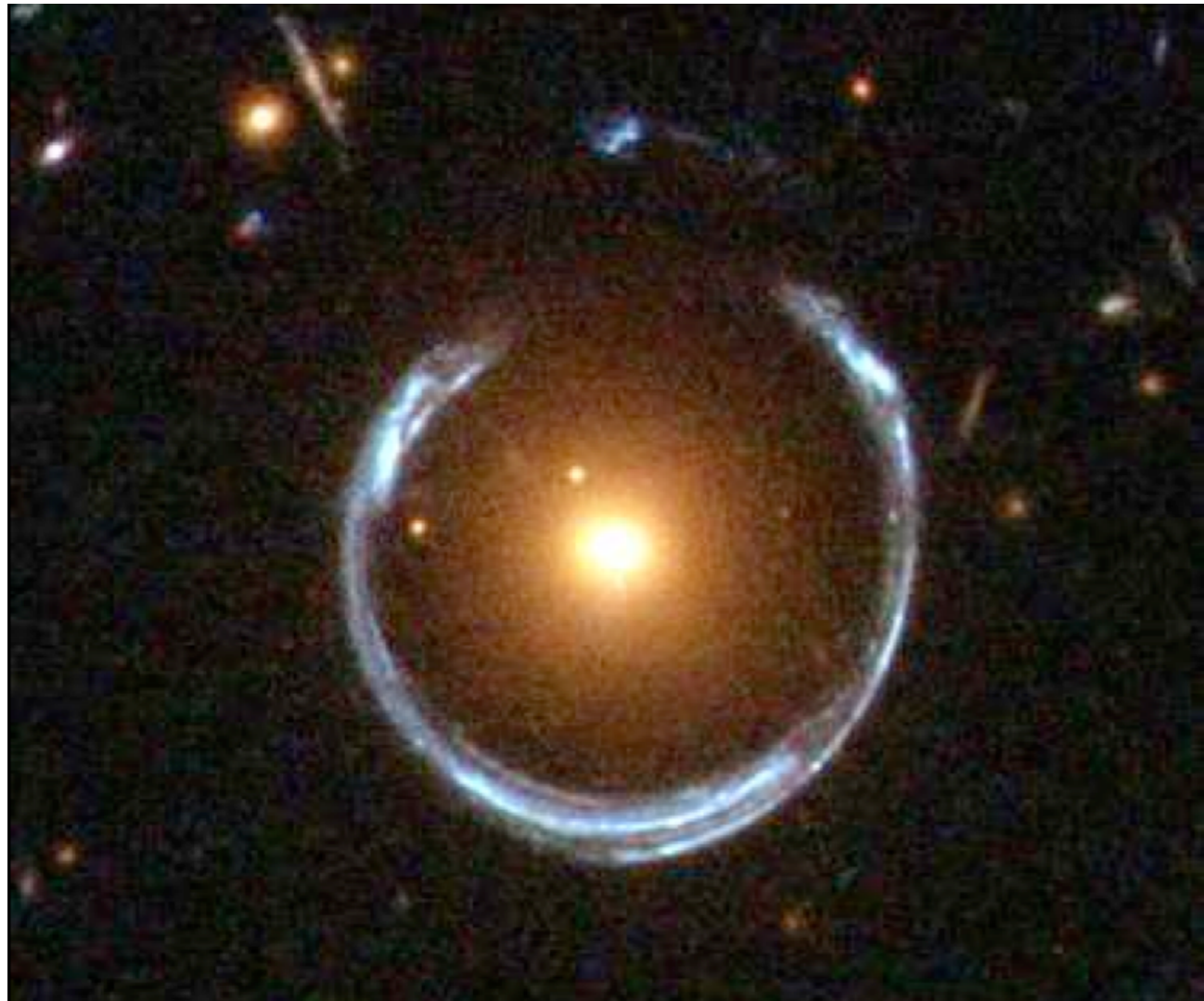
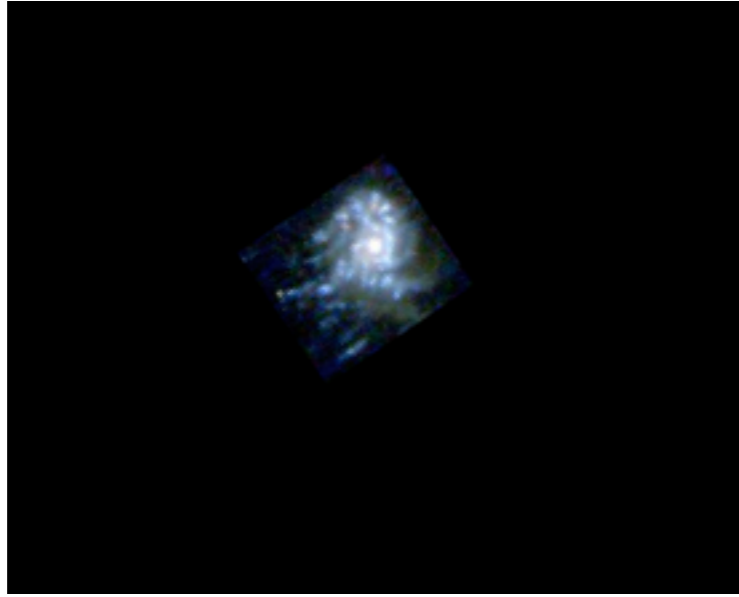
[www.spacetelescope.org](http://www.spacetelescope.org)

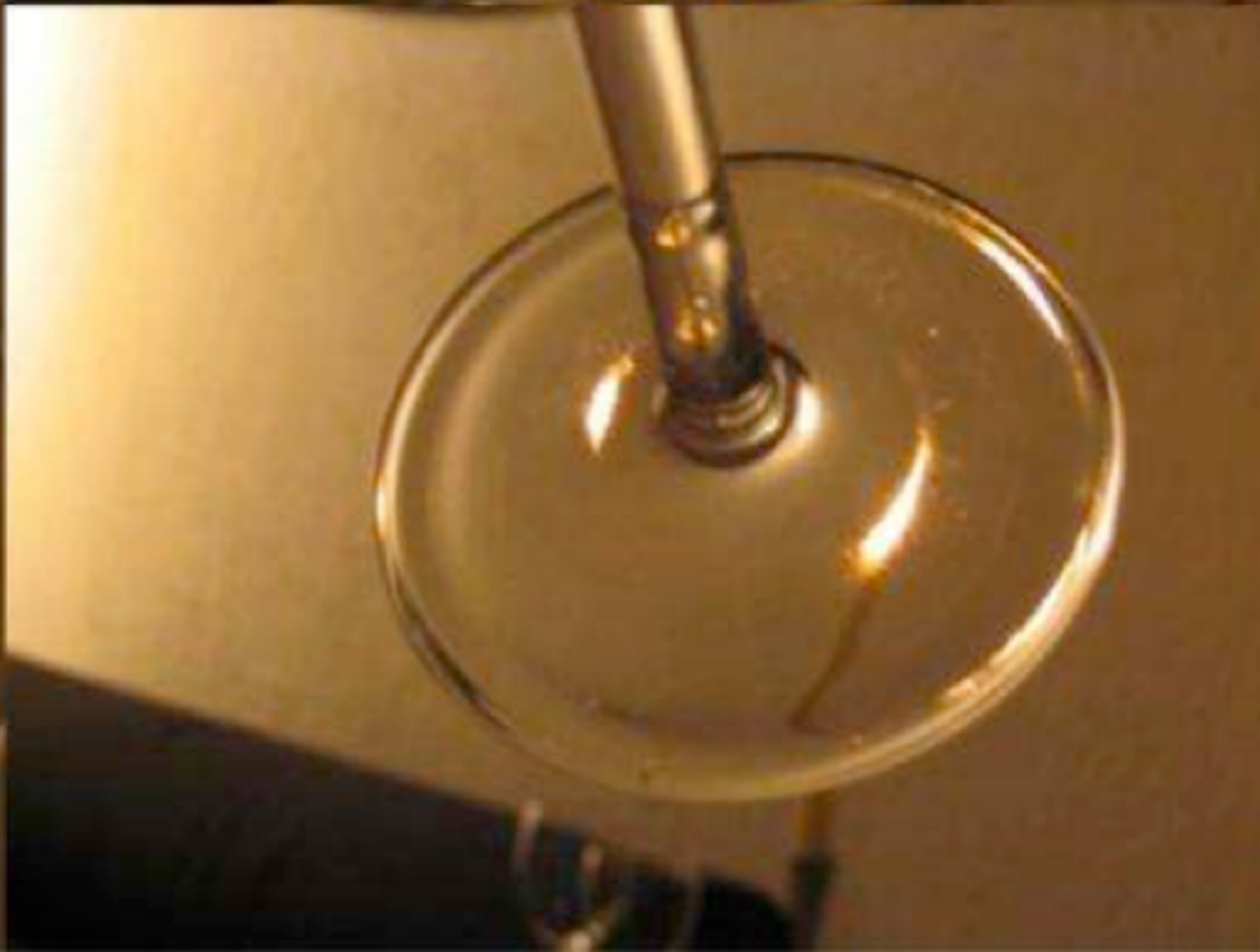
# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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[www.spacetelescope.org](http://www.spacetelescope.org)





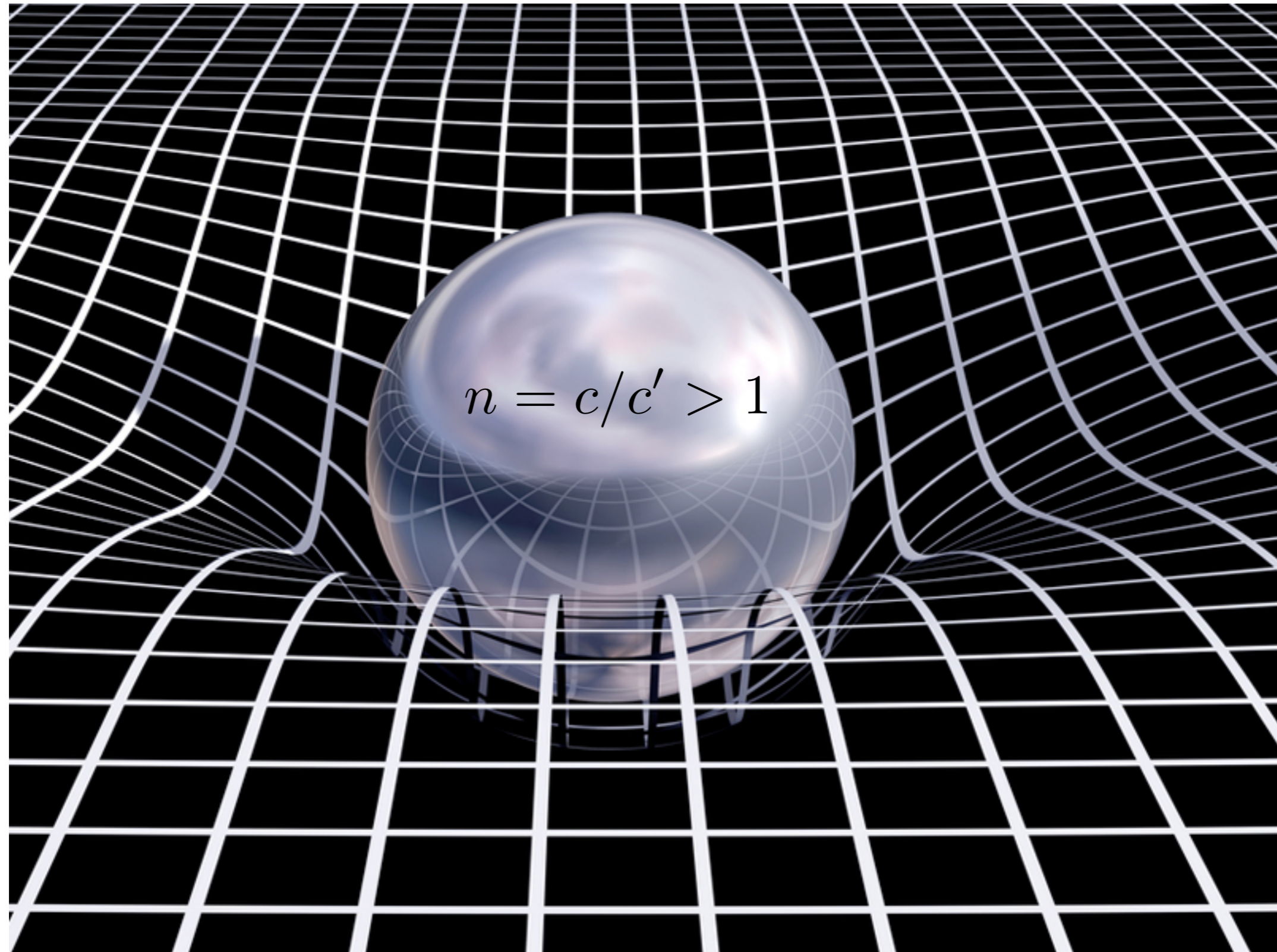
# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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- We will now repeat the calculation of the deflection angle in the context of a locally curved space-time
- Assumptions:
  - the deflection occurs in small region of the universe and over time-scales where the expansion of the universe is not relevant
  - the weak-field limit can be safely applied:  $|\Phi|/c^2 \ll 1$
  - perturbed region can be described in terms of an effective refraction index
  - Fermat principle

# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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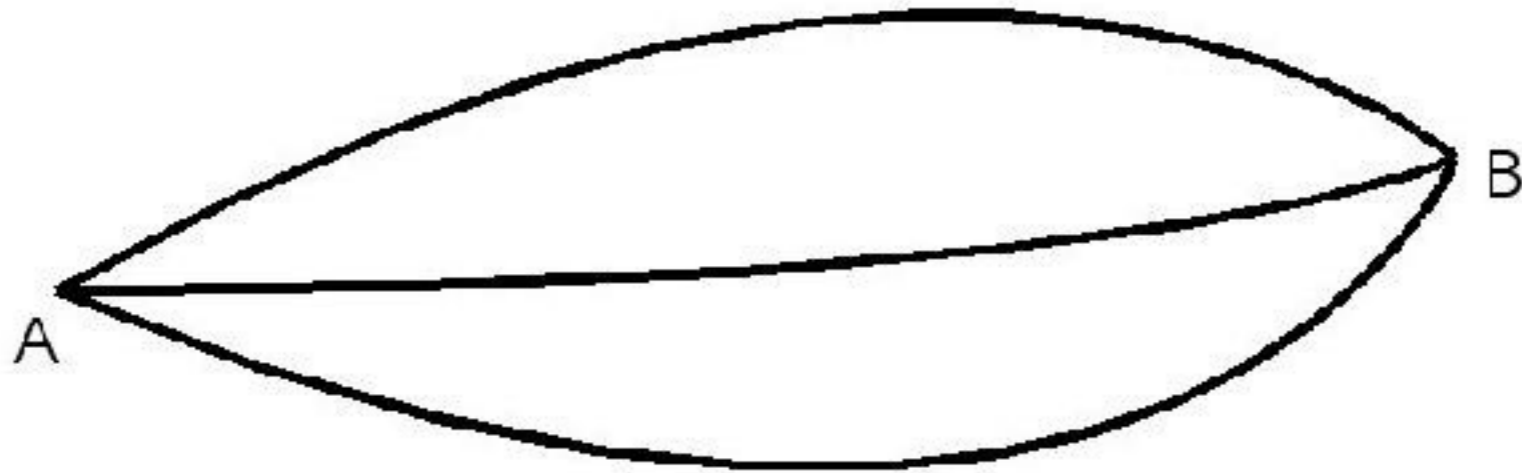




# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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$$\text{Travel time} = \int \frac{n}{c} dl$$



$$\text{Fermat principle: } \delta \int_A^B n dl = 0$$

# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

---

*How to define the effective diffraction index?*

*void = unperturbed space-time*

*described by the Minkowski metric*

$$\eta_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

$$ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu = (dx^0)^2 - (d\vec{x})^2 = c^2 dt^2 - (d\vec{x})^2$$

*effective diffraction index  $> 1$  =*

*perturbed space-time, described by*

*the perturbed metric*

$$\eta_{\mu\nu} \rightarrow g_{\mu\nu} = \begin{pmatrix} 1 + \frac{2\Phi}{c^2} & 0 & 0 & 0 \\ 0 & -(1 - \frac{2\Phi}{c^2}) & 0 & 0 \\ 0 & 0 & -(1 - \frac{2\Phi}{c^2}) & 0 \\ 0 & 0 & 0 & -(1 - \frac{2\Phi}{c^2}) \end{pmatrix}$$

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = \left(1 + \frac{2\Phi}{c^2}\right) c^2 dt^2 - \left(1 - \frac{2\Phi}{c^2}\right) (d\vec{x})^2$$

# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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*How to define the effective diffraction index?*

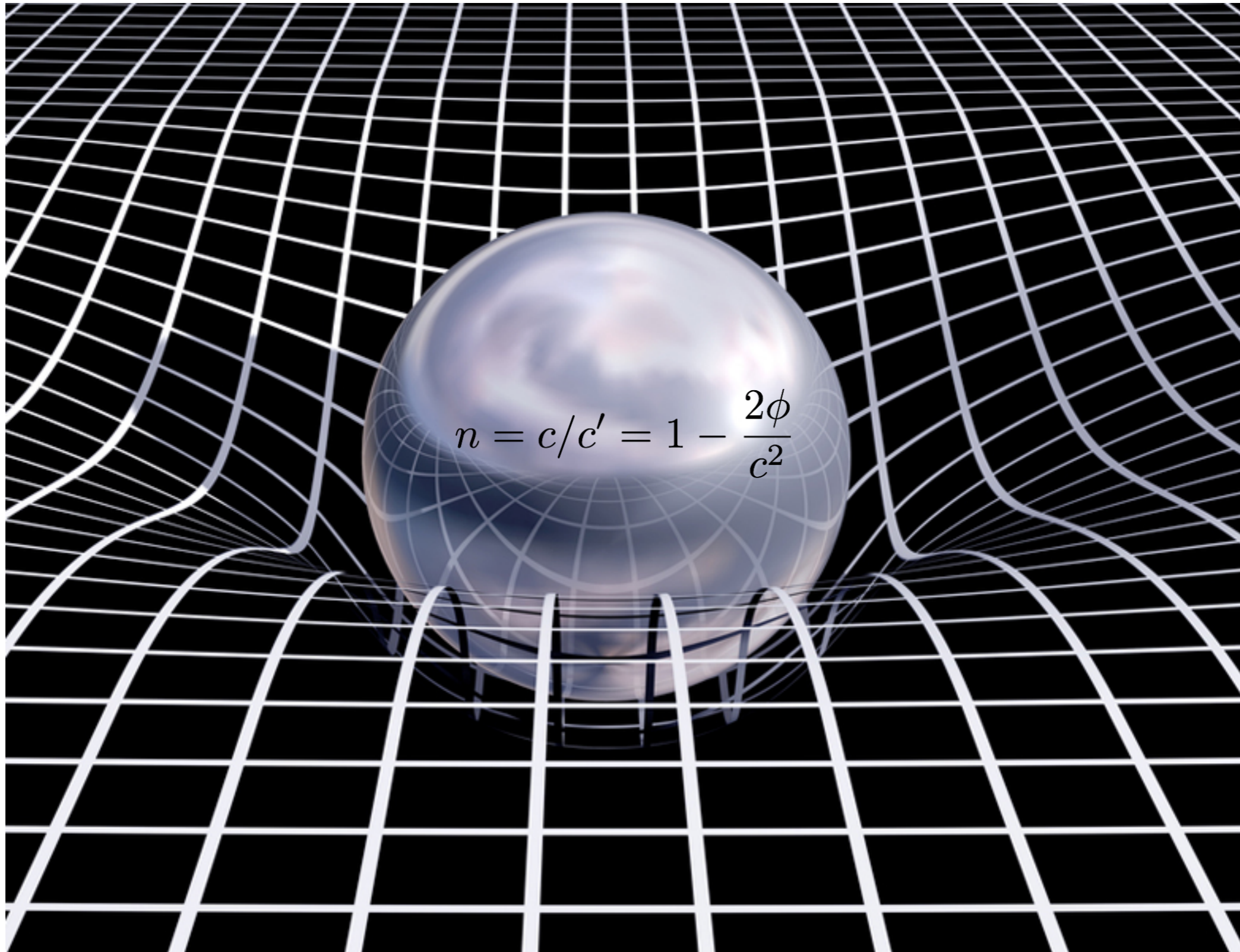
$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = \left(1 + \frac{2\Phi}{c^2}\right) c^2 dt^2 - \left(1 - \frac{2\Phi}{c^2}\right) (d\vec{x})^2$$

$$\left(1 + \frac{2\Phi}{c^2}\right) c^2 dt^2 = \left(1 - \frac{2\Phi}{c^2}\right) (d\vec{x})^2$$

$$c' = \frac{|d\vec{x}|}{dt} = c \sqrt{\frac{1 + \frac{2\Phi}{c^2}}{1 - \frac{2\Phi}{c^2}}} \approx c \left(1 + \frac{2\Phi}{c^2}\right)$$

# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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*Let's use the Fermat principle*

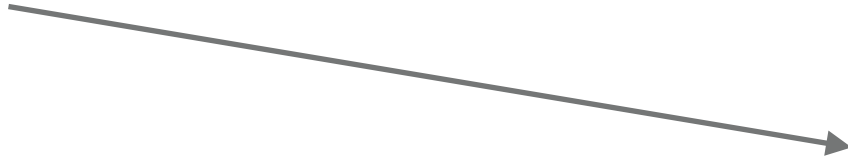
$$\delta \int_A^B n[\vec{x}(l)] dl = 0$$

# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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*Let's use the Fermat principle*

$$\delta \int_A^B n[\vec{x}(l)] dl = 0$$


$$dl = \left| \frac{d\vec{x}}{d\lambda} \right| d\lambda$$

# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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*Let's use the Fermat principle*

$$\delta \int_A^B n[\vec{x}(l)] dl = 0$$

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*generalized coordinate*



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*generalized velocity*

$$\dot{\vec{x}} \equiv \frac{d\vec{x}}{d\lambda}$$

*generalized coordinate*

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*generalized velocity*

$$\dot{\vec{x}} \equiv \frac{d\vec{x}}{d\lambda}$$

*generalized coordinate*

$$n[\vec{x}(\lambda)] \left| \frac{d\vec{x}}{d\lambda} \right| \equiv L(\dot{\vec{x}}, \vec{x}, \lambda)$$

*Langrangian!*

# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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*Let's use the Fermat principle*

$$\delta \int_{\lambda_A}^{\lambda_B} d\lambda n[\vec{x}(\lambda)] \left| \frac{d\vec{x}}{d\lambda} \right| = 0$$

*Euler-Lagrange equation:*  $\frac{d}{d\lambda} \frac{\partial L}{\partial \dot{\vec{x}}} - \frac{\partial L}{\partial \vec{x}} = 0$

# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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*Let's use the Fermat principle*

Euler-Lagrange equation: 
$$\frac{d}{d\lambda} \frac{\partial L}{\partial \dot{\vec{x}}} - \frac{\partial L}{\partial \vec{x}} = 0 \quad n[\vec{x}(\lambda)] \left| \frac{d\vec{x}}{d\lambda} \right| \equiv L(\dot{\vec{x}}, \vec{x}, \lambda)$$

# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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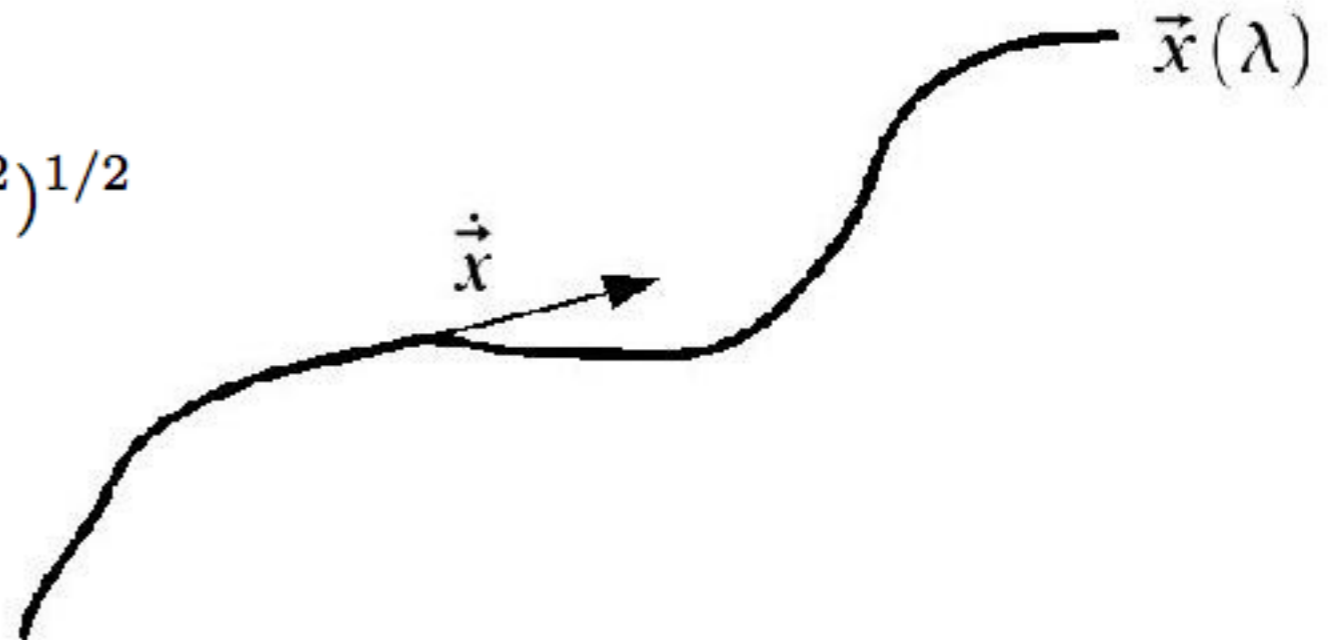
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$$|\dot{\vec{x}}| = 1$$

$$\vec{e} \equiv \dot{\vec{x}}$$





# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

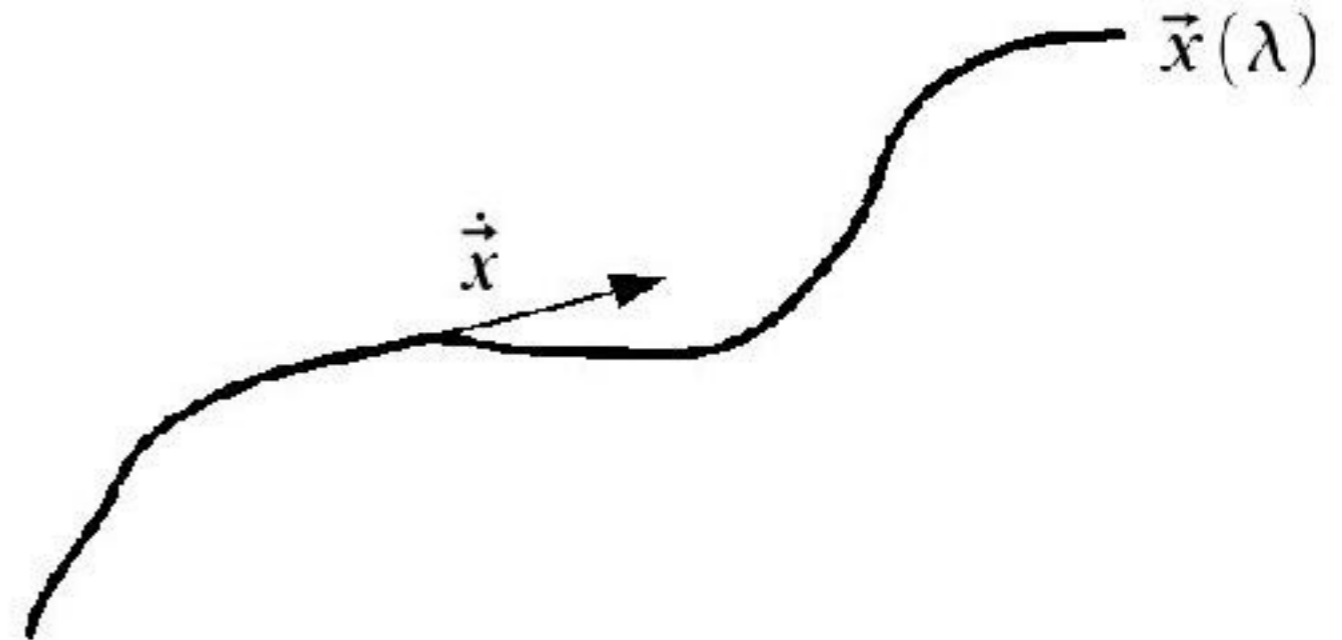
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# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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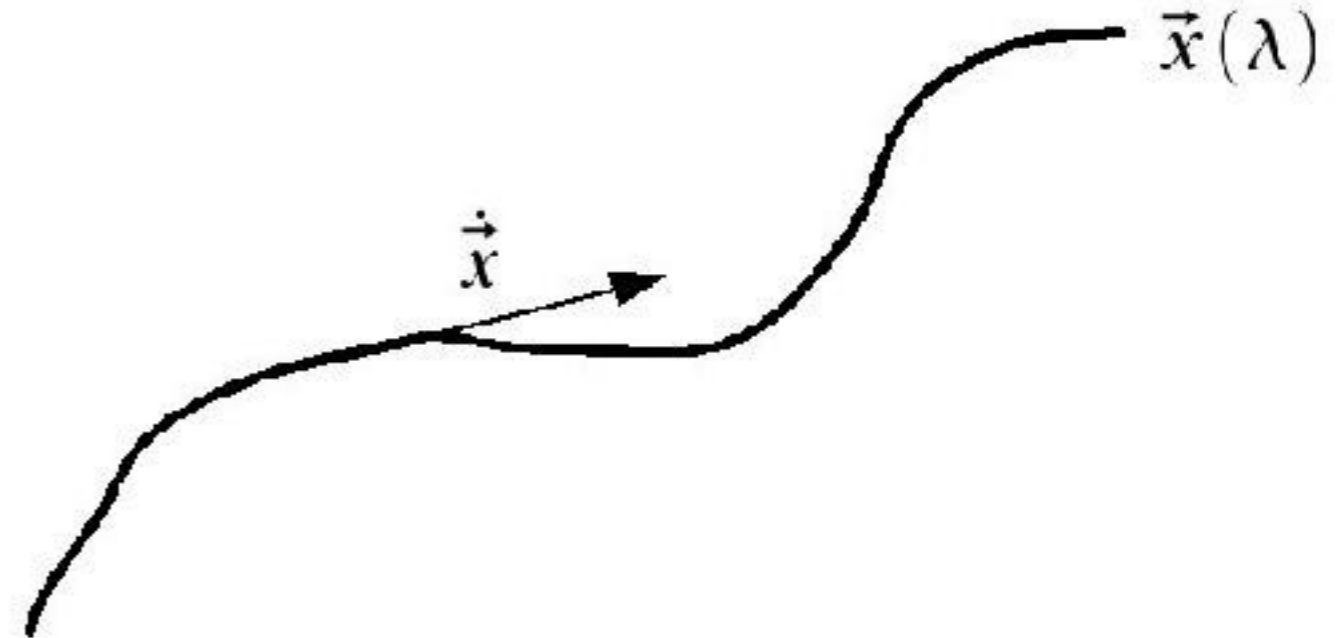
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$$\frac{d}{d\lambda} (n \vec{e}) - \vec{\nabla} n = 0$$



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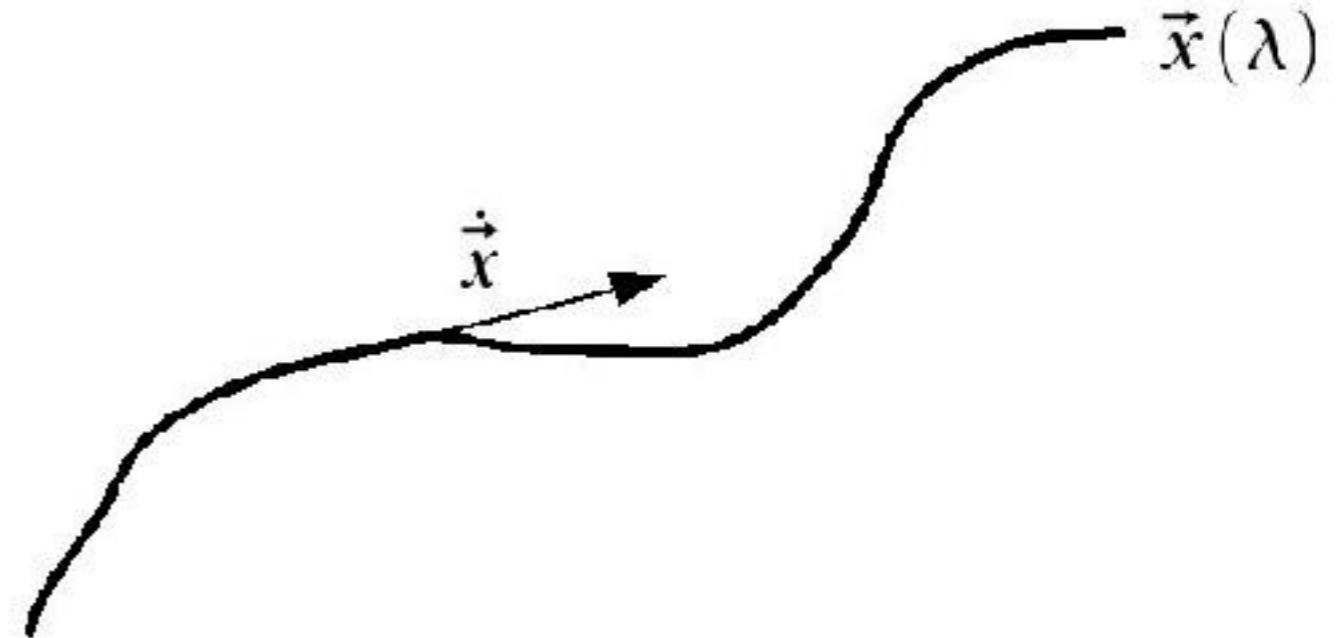
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$$\frac{d}{d\lambda} (n \vec{e}) - \vec{\nabla} n = 0$$

$$n \dot{\vec{e}} + \vec{e} \cdot [(\vec{\nabla} n) \dot{\vec{x}}] = \vec{\nabla} n ,$$

$$\Rightarrow n \dot{\vec{e}} = \vec{\nabla} n - \vec{e} (\vec{\nabla} n \cdot \vec{e})$$



# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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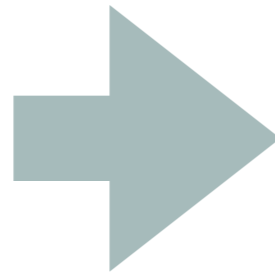
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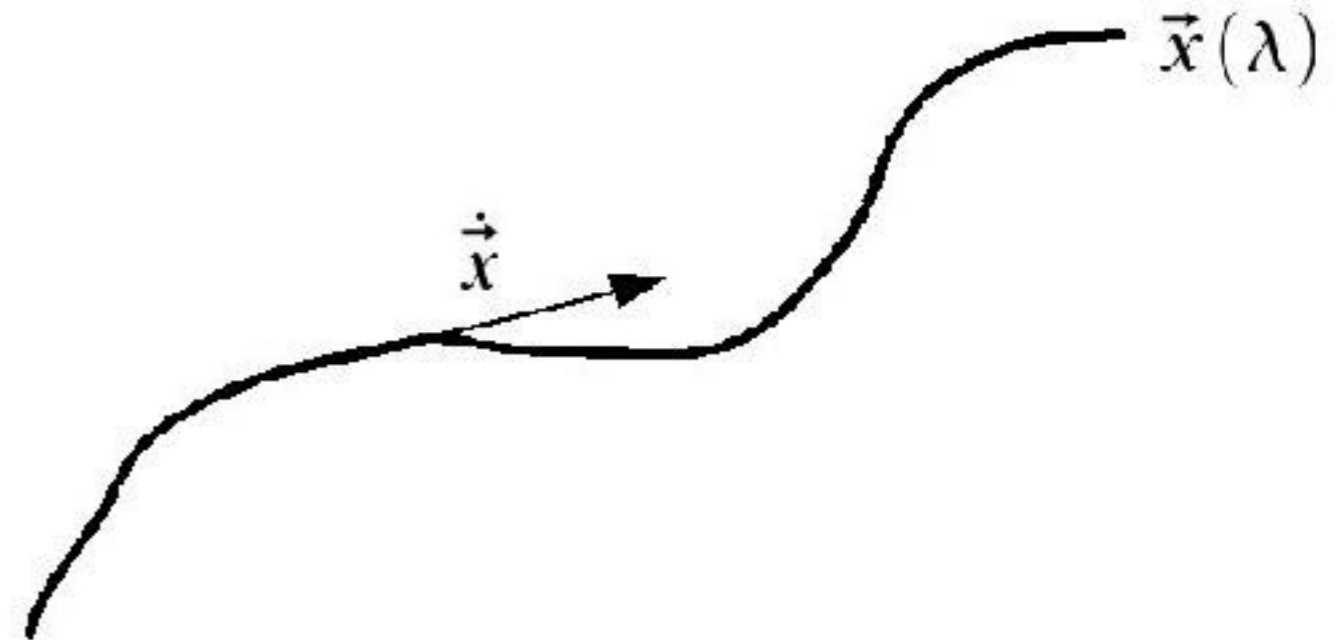
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$$\dot{\vec{e}} = \frac{1}{n} \vec{\nabla}_{\perp} n = \vec{\nabla}_{\perp} \ln n$$



# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

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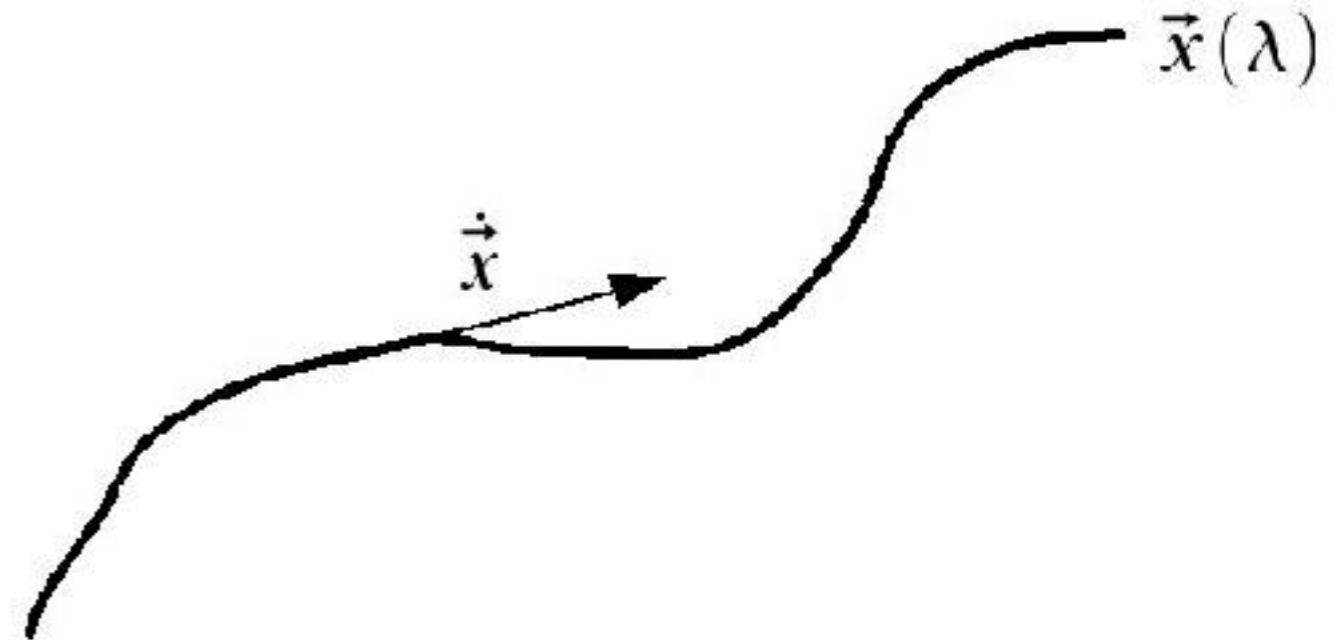
$$\dot{\vec{e}} = \frac{1}{n} \vec{\nabla}_{\perp} n = \vec{\nabla}_{\perp} \ln n$$

$$n = 1 - \frac{\phi}{c^2} \quad \frac{\phi}{c^2} \ll 1$$



$$\ln n \approx -2 \frac{\phi}{c^2}$$

$$\dot{\vec{e}} \approx -\frac{2}{c^2} \vec{\nabla}_{\perp} \Phi$$



$$\hat{\alpha} = \frac{2}{c^2} \int_{\lambda_A}^{\lambda_B} \vec{\nabla}_{\perp} \Phi d\lambda$$

*Deflection angle*

# DEFLECTION OF LIGHT IN GENERAL RELATIVITY

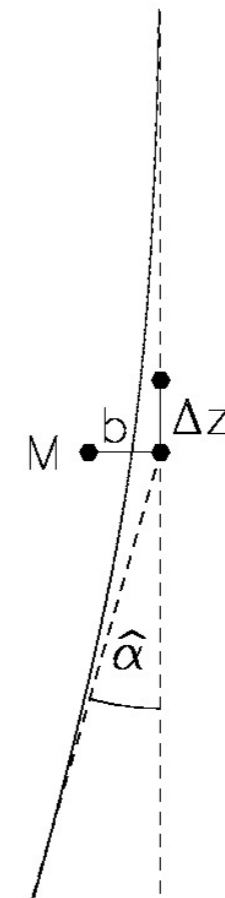
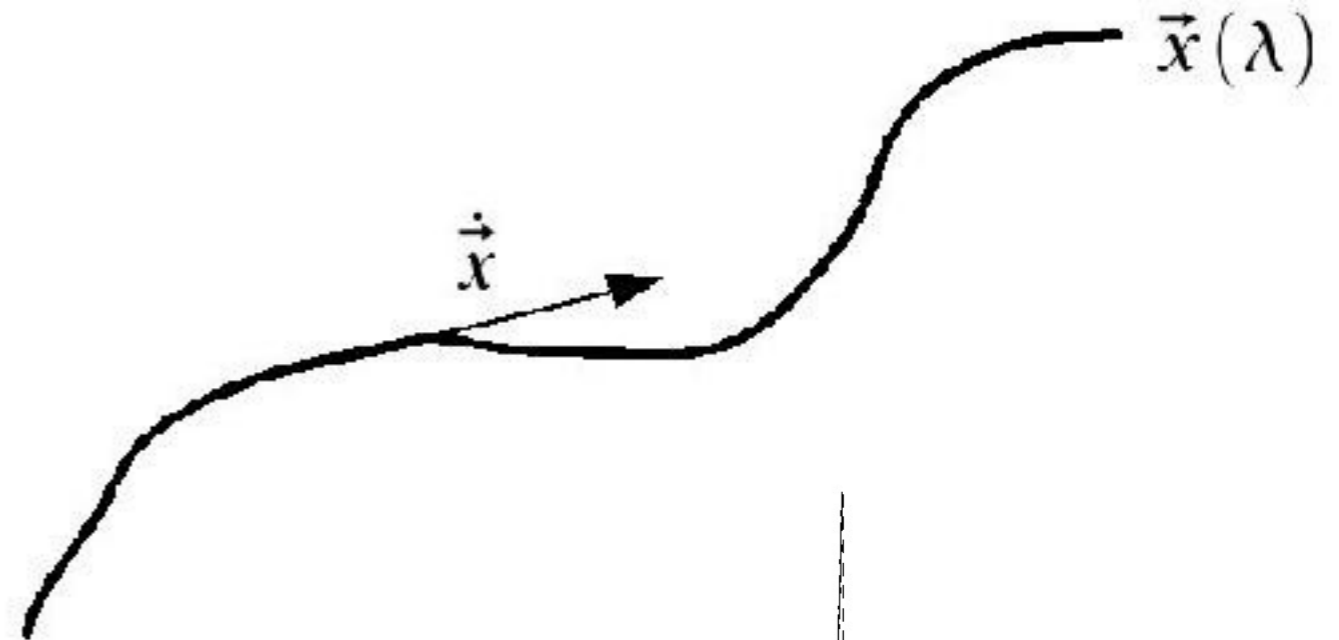
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$$\hat{\vec{\alpha}} = \frac{2}{c^2} \int_{\lambda_A}^{\lambda_B} \vec{\nabla}_{\perp} \Phi d\lambda$$

*As it is written, this equation is not useful, as we would have to integrate over the actual light path.*

*Let's assume that the deflection is "instantaneous" (Born approximation):*

$$\hat{\vec{\alpha}}(b) = \frac{2}{c^2} \int_{-\infty}^{+\infty} \vec{\nabla}_{\perp} \phi dz$$



# A PARTICULAR CASE: THE POINT MASS

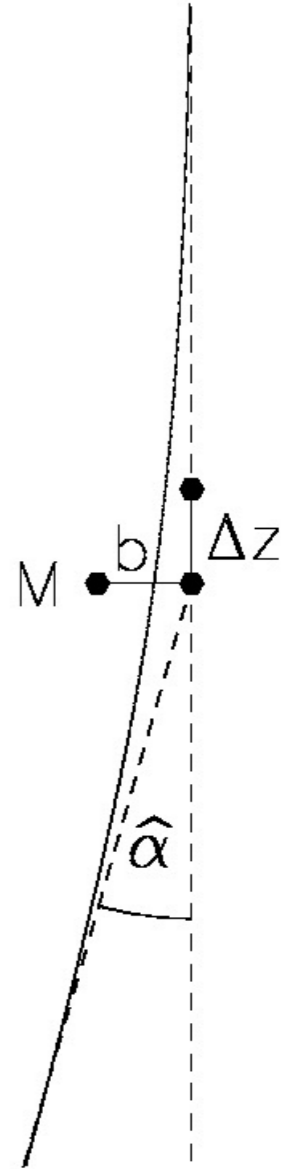
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$$\phi = -\frac{GM}{r}$$

$$r = \sqrt{x^2 + y^2 + z^2} = \sqrt{b^2 + z^2}$$

$$\vec{\nabla}_{\perp}\phi = \begin{pmatrix} \partial_x\phi \\ \partial_y\phi \end{pmatrix} = \frac{GM}{r^3} \begin{pmatrix} x \\ y \end{pmatrix}$$

$$\begin{aligned} \hat{\vec{\alpha}}(b) &= \frac{2GM}{c^2} \begin{pmatrix} x \\ y \end{pmatrix} \int_{-\infty}^{+\infty} \frac{dz}{(b^2 + z^2)^{3/2}} \\ &= \frac{4GM}{c^2} \begin{pmatrix} x \\ y \end{pmatrix} \left[ \frac{z}{b^2(b^2 + z^2)^{1/2}} \right]_0^{\infty} = \frac{4GM}{c^2 b} \begin{pmatrix} \cos\phi \\ \sin\phi \end{pmatrix} \end{aligned}$$



# A LIGHT RAY GRAZING THE SURFACE OF THE SUN

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*General relativity:*

$$\hat{\alpha} = \frac{4GM_{\odot}}{c^2 R_{\odot}} = 1.75''$$

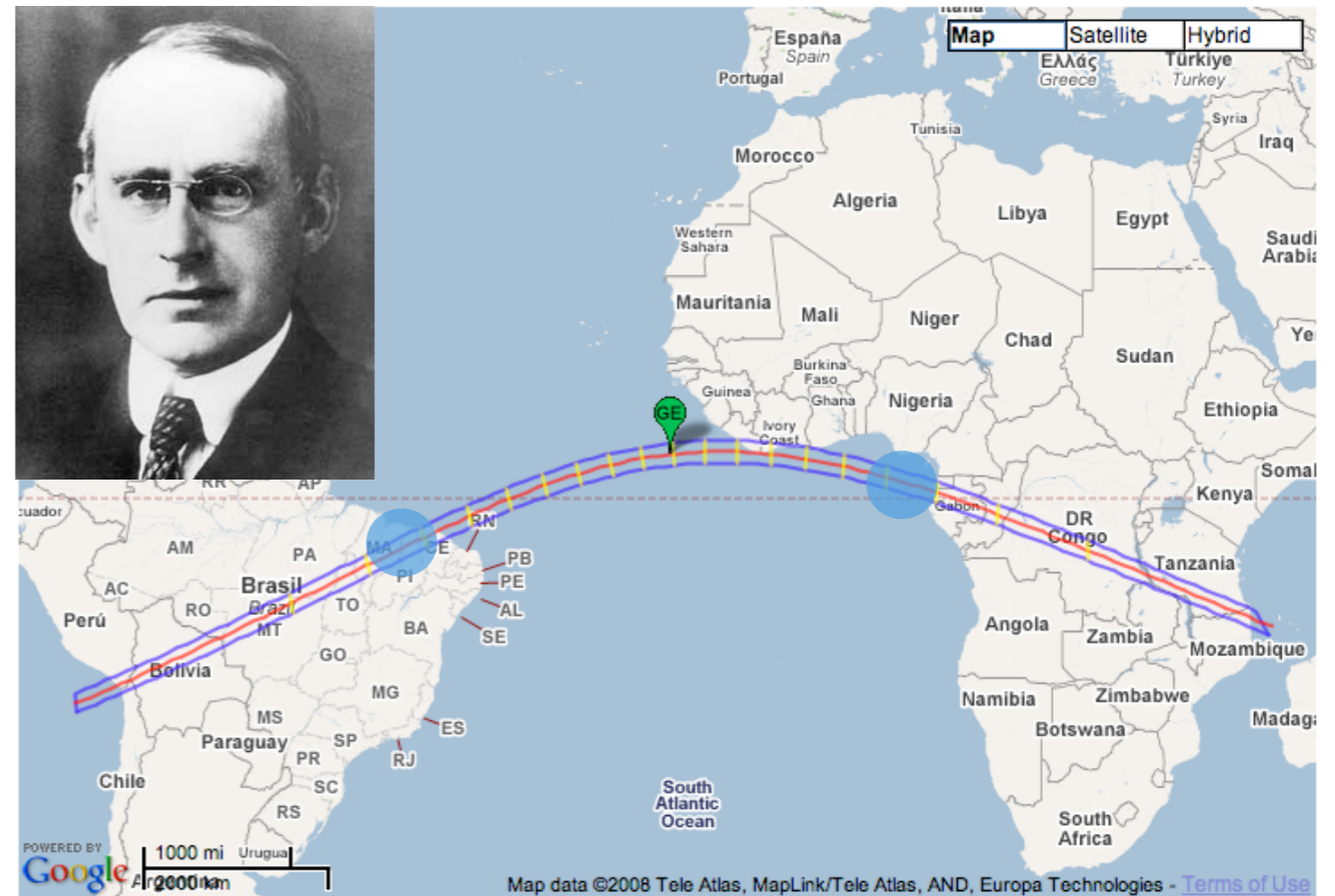
*Newtonian gravity  
and corpuscular light:*

$$\hat{\alpha} = \frac{2GM_{\odot}}{c^2 R_{\odot}} = 0.875''$$



# EDDINGTON EXPEDITIONS

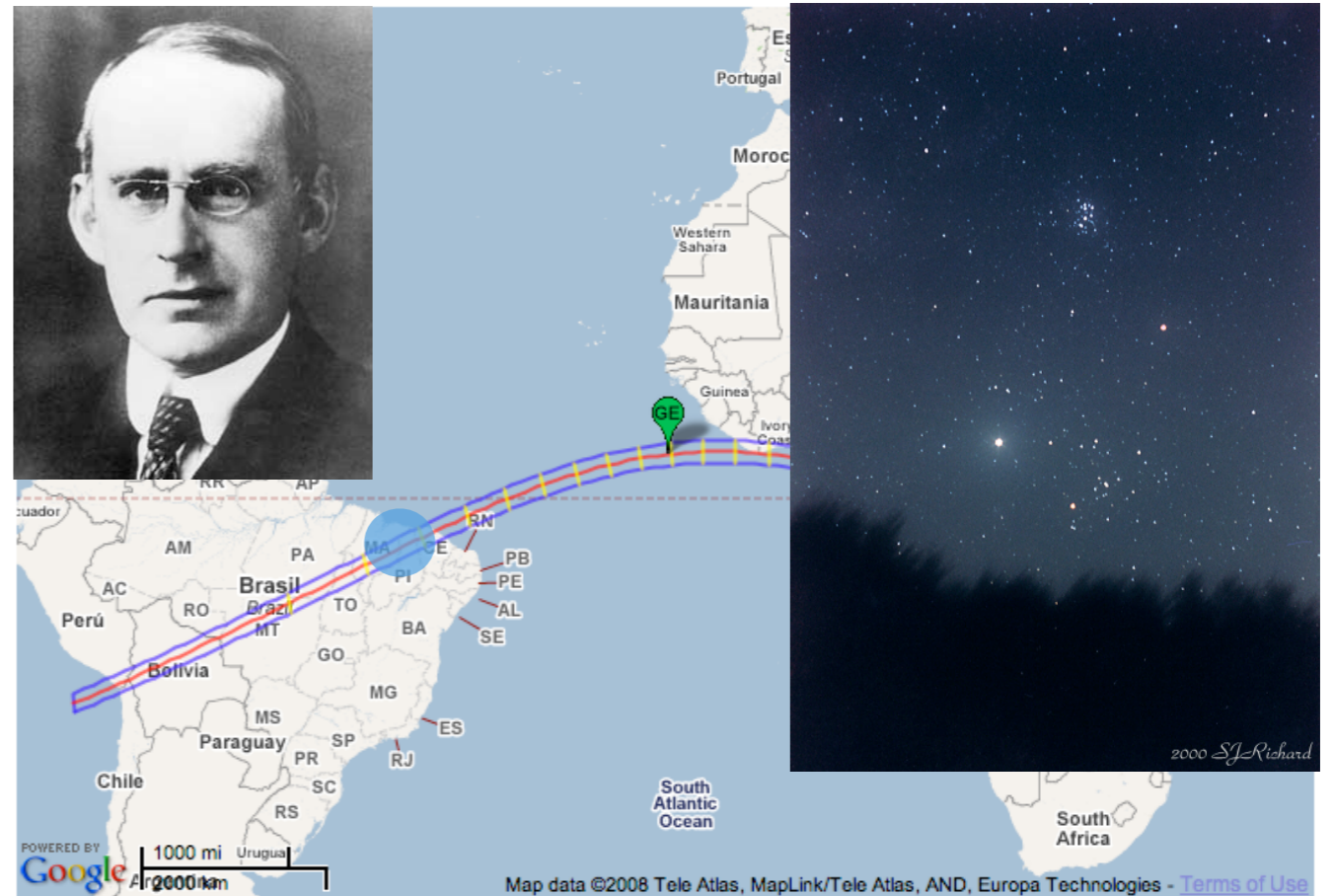
- In 1919 Eddington organized two expeditions to observe a total solar eclipse (Principe Island and Sobral)
- The goal was to measure the lensing effect of the sun on background stars
- Very conveniently, the sun was well aligned with the Hyades open cluster
- During the eclipse the expedition from Principe registered a shift in the apparent position of stars with respect to their night-time positions, which resulted to be consistent with the GR predictions
- The Sobral expedition measured a smaller deflection but this was interpreted as the result of a technical problem.



# EDDINGTON EXPEDITIONS

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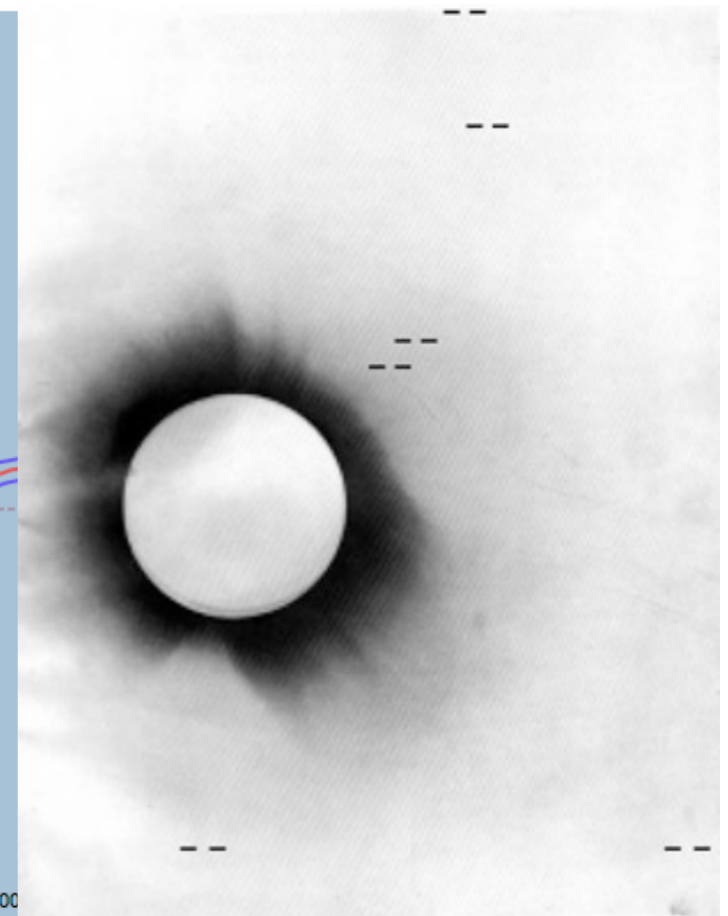
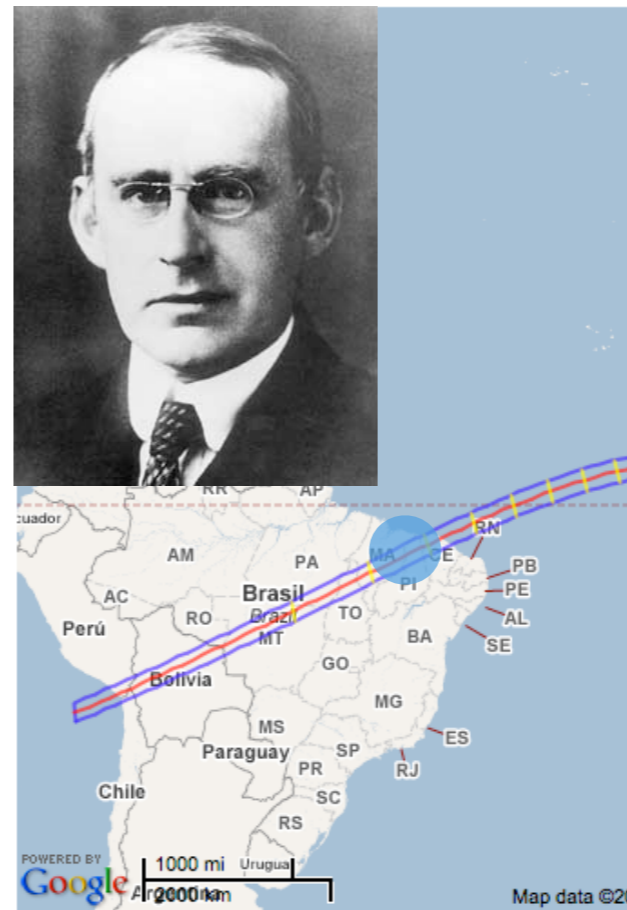
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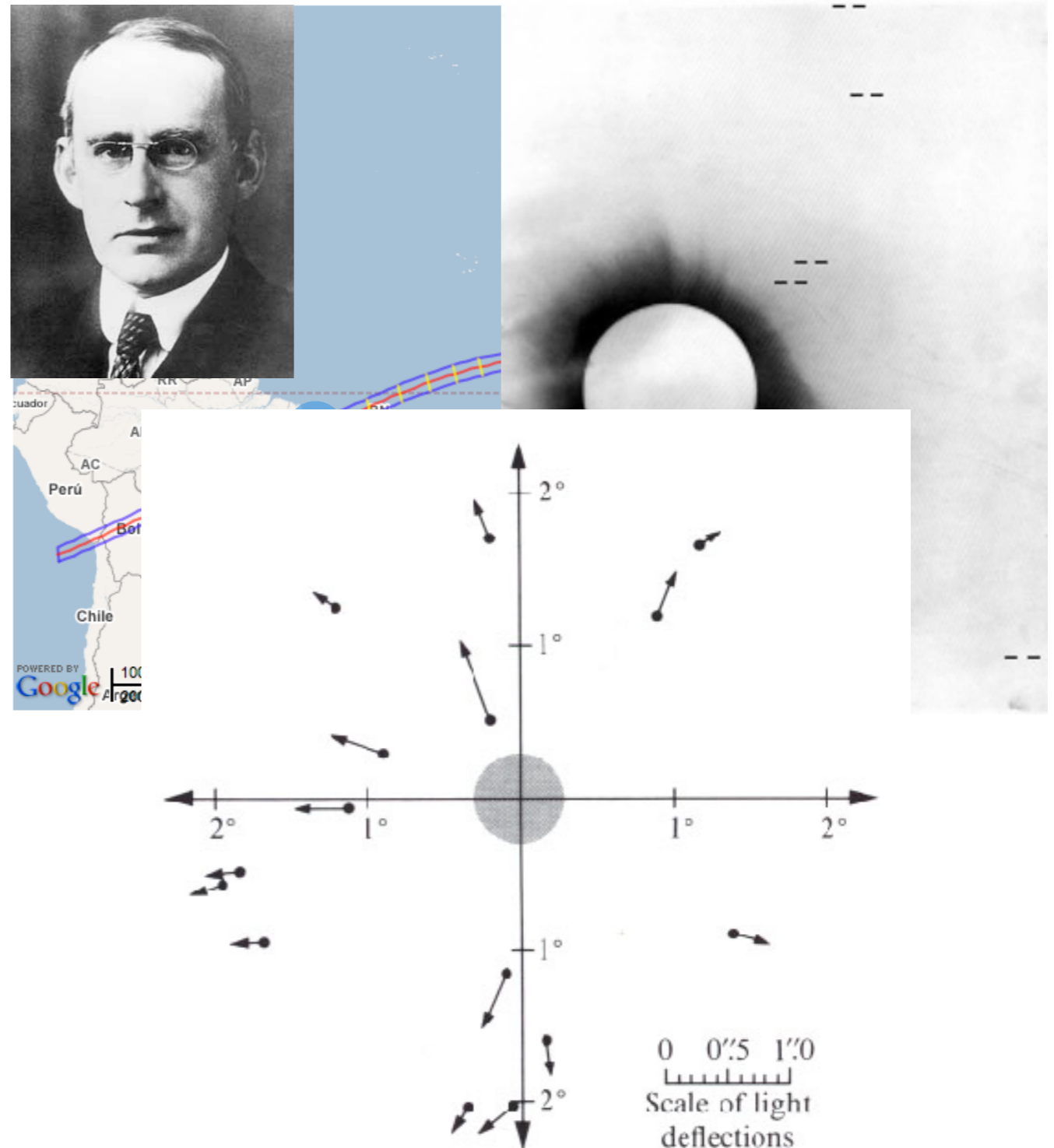
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# GRAVITATIONAL TIME DELAY

---

$$\Delta t = \int \frac{dl}{c'} - \int \frac{dl}{c} = \frac{1}{c} \int (n - 1) dl = -\frac{2}{c^3} \int \Phi dl$$

*Travel time in un-perturbed space time*

*Travel time in perturbed space time*

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